## A survey analysis example

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This document provides a simple example analysis of a survey data set, a subsample from the California Academic Performance Index, an annual set of tests used to evaluate California schools. The API website, including the original data files are at http://api.cde.ca.gov. The subsample was generated as a teaching example by Academic Technology Services at UCLA and was obtained from http://www.ats.ucla.edu/stat/stata/Library/svy\_survey.htm.

We have a cluster sample in which 15 school districts were sampled and then all schools in each district. This is in the data frame apiclus1, loaded with data(api). The two-stage sample is defined by the sampling unit (dnum) and the population size(fpc). Sampling weights are computed from the population sizes, but could be provided separately.

```
> library(survey)
> data(api)
> dclus1 <- svydesign(id = ~dnum, weights = ~pw, data = apiclus1, fpc = ~fpc)</pre>
```

The svydesign function returns an object containing the survey data and metadata.

```
> summary(dclus1)
```

```
1 - level Cluster Sampling design
With (15) clusters.
svydesign(id = ~dnum, weights = ~pw, data = apiclus1, fpc = ~fpc)
Probabilities:
   Min. 1st Qu. Median
                            Mean 3rd Qu.
                                              Max.
0.02954 0.02954 0.02954 0.02954 0.02954 0.02954
Population size (PSUs): 757
Data variables:
 [1] "cds"
                 "stype"
                             "name"
                                         "sname"
                                                     "snum"
                                                                "dname"
 [7] "dnum"
                                         "flag"
                                                                "api00"
                 "cname"
                             "cnum"
                                                     "pcttest"
                                                                "both"
                                                    "comp.imp"
[13] "api99"
                 "target"
                             "growth"
                                         "sch.wide"
[19] "awards"
                 "meals"
                             "ell"
                                         "yr.rnd"
                                                    "mobility"
                                                                "acs.k3"
                                         "not.hsg"
[25] "acs.46"
                             "pct.resp"
                                                    "hsg"
                                                                "some.col"
                 "acs.core"
[31] "col.grad"
                             "avg.ed"
                                         "full"
                                                    "emer"
                                                                "enroll"
                 "grad.sch"
[37] "api.stu"
                 "fpc"
                             "wq"
```

We can compute summary statistics to estimate the mean, median, and quartiles of the Academic Performance Index in the year 2000, the number of elementary, middle, and high schools in the state, the total number of students, and the proportion who took the test. Each function takes a formula object describing the variables and a survey design object containing the data.

> svymean(~api00, dclus1)

```
SE
        mean
api00 644.17 23.542
> svyquantile(~api00, dclus1, quantile=c(0.25,0.5,0.75), ci=TRUE)
$api00
     quantile ci.2.5 ci.97.5
                                    se
0.25
                 492
          552
                         627 31.47166
0.5
          652
                 561
                         714 35.66788
                         777 18.88300
0.75
          719
                 696
attr(,"hasci")
[1] TRUE
attr(,"class")
[1] "newsvyquantile"
> svytotal(~stype, dclus1)
         total
                    SE
stypeE 4873.97 1333.32
stypeH 473.86 158.70
stypeM 846.17 167.55
> svytotal(~enroll, dclus1)
         total
                   SE
enroll 3404940 932235
> svyratio(~api.stu,~enroll, dclus1)
Ratio estimator: svyratio.survey.design2(~api.stu, ~enroll, dclus1)
Ratios=
           enroll
api.stu 0.8497087
SEs=
             enroll
api.stu 0.008386297
```

The ordinary R subsetting functions [ and subset work correctly on these survey objects, carrying along the metadata needed for valid standard errors. Here we compute the proportion of high school students who took the test

The warnings referred to in the output occured because several school districts have only one high school sampled, making the second stage standard error estimation unreliable.

Specifying a large number of variables is made easier by the  ${\tt make.formula}$  function

> vars<-names(apiclus1)[c(12:13,16:23,27:37)]

> svymean(make.formula(vars),dclus1,na.rm=TRUE)

	mean	SE
api00	643.203822	25.4936
api99	605.490446	25.4987
sch.wideNo	0.127389	0.0247
sch.wideYes	0.872611	0.0247
comp.impNo	0.273885	0.0365
comp.impYes	0.726115	0.0365
bothNo	0.273885	0.0365
bothYes	0.726115	0.0365
awardsNo	0.292994	0.0397
awardsYes	0.707006	0.0397
meals	50.636943	6.6588
ell	26.891720	2.1567
yr.rndNo	0.942675	0.0358
yr.rndYes	0.057325	0.0358
mobility	17.719745	1.4555
pct.resp	67.171975	9.6553
not.hsg	23.082803	3.1976
hsg	24.847134	1.1167
some.col	25.210191	1.4709
col.grad	20.611465	1.7305
grad.sch	6.229299	1.5361
avg.ed	2.621529	0.1054
full	87.127389	2.1624
emer	10.968153	1.7612
enroll	573.713376	46.5959
api.stu	487.318471	41.4182

Summary statistics for subsets can also be computed with svyby. Here we compute the average proportion of "English language learners" and of students eligible for subsidized school meals for elementary, middle, and high schools

> svyby(~ell+meals, ~stype, design=dclus1, svymean)
stype ell meals se.ell se.meals
E E 29.69444 53.09028 1.411617 7.070399
H H 15.00000 37.57143 5.347065 5.912262
M M 22.68000 43.08000 2.952862 6.017110

Regression models show that these socieconomic variables predict API score and whether the school achieved its API target

```
> regmodel <- svyglm(api00~ell+meals,design=dclus1)</pre>
> logitmodel <- svyglm(I(sch.wide=="Yes")~ell+meals, design=dclus1, family=quasibinomial())</pre>
> summary(regmodel)
Call:
svyglm(formula = api00 ~ ell + meals, design = dclus1)
Survey design:
svydesign(id = ~dnum, weights = ~pw, data = apiclus1, fpc = ~fpc)
Coefficients:
            Estimate Std. Error t value Pr(>|t|)
(Intercept) 817.1823 18.6709 43.768 1.32e-14 ***
ell
            -0.5088 0.3259 -1.561
                                           0.144
                     0.3018 -10.423 2.29e-07 ***
meals
            -3.1456
_ _ _
Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
(Dispersion parameter for gaussian family taken to be 3161.207)
Number of Fisher Scoring iterations: 2
> summary(logitmodel)
Call:
svyglm(formula = I(sch.wide == "Yes") ~ ell + meals, design = dclus1,
    family = quasibinomial())
Survey design:
svydesign(id = ~dnum, weights = ~pw, data = apiclus1, fpc = ~fpc)
Coefficients:
            Estimate Std. Error t value Pr(>|t|)
(Intercept) 1.899557 0.509915 3.725 0.00290 **
```

```
0.039925
                        0.012443
                                  3.209 0.00751 **
ell
meals
            -0.019115
                        0.008825 -2.166 0.05117 .
_ _ _
Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
(Dispersion parameter for quasibinomial family taken to be 0.9627734)
Number of Fisher Scoring iterations: 5
  We can calibrate the sampling using the statewide total for the previous
year's API
> gclus1 <- calibrate(dclus1, formula=~api99, population=c(6194, 3914069))</pre>
which improves estimation of some quantities
> svymean(~api00, gclus1)
                 SE
        mean
api00 666.72 3.2959
> svyquantile(~api00, gclus1, quantile=c(0.25,0.5,0.75), ci=TRUE)
$api00
     quantile ci.2.5 ci.97.5
                                     se
0.25
          595
                 561
                         627 15.386145
0.5
          684
                 664
                         698 7.926196
0.75
          737
                 722
                         759 8.625566
attr(,"hasci")
[1] TRUE
attr(,"class")
[1] "newsvyquantile"
> svytotal(~stype, gclus1)
         total
                   SE
stypeE 4881.77 302.15
stypeH 463.35 183.03
stypeM 848.88 194.76
> svytotal(~enroll, gclus1)
         total
                   SE
enroll 3357372 243227
> svyratio(~api.stu,~enroll, gclus1)
```

Ratio estimator: svyratio.survey.design2(~api.stu, ~enroll, gclus1) Ratios= enroll api.stu 0.8506941 SEs= enroll api.stu 0.008674888