

The Rice example: illustrating the first five steps for smoothing and extracting traits (SET) using growthPheno

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This example is based on the data whose analysis has been published by Al-Tamimi et al. (2016). The five steps of the method for smoothing and extracting traits (SET) described in detail in Brien et al. (2020) is illustrated for this data using `growthPheno` (Brien, 2025g), a package for the R statistical computing environment (R Core Team, 2025).

Initialize

Step 1: Import, select and derive longitudinal data

Step 1(a): Import the data

```
data(RiceRaw.dat)
```

Step 1(b): Organize the data

Here the imaging variables are selected and covariates and factors added to produce `longi.dat`.

```
longi.dat <- prepImageData(data=RiceRaw.dat,
                           potIDcolumns = c("Genotype.ID", "Treatment.1", "Replicate" ),
                           smarthouse.lev=c("NE","NW"))

longi.dat <- designFactors(data = longi.dat, insertName = "Replicate",
                            nzones = 3, designfactorMethod="StandardOrder")

## Particular edits to longi.dat - add Days after treatment (xDAT)
longi.dat$xDAT <- longi.dat$xDAP - 29
longi.dat <- with(longi.dat, longi.dat[order(Snapshot.ID.Tag,DAP), ])
```

Step 1(c): Derive longitudinal traits that result in a value for each observation

```

# Set responses
responses.image <- c("PSA")
responses.smooth <- paste0("s", responses.image)

# Form growth rates for each observation of a subset of responses by differencing
longi.dat <- byIndv4Times_GRsDiff(longi.dat, responses = responses.image,
                                    times = "DAP",
                                    which.rates = c("AGR", "RGR"))

# Form PSA.WUI
longi.dat <- within(longi.dat,
                      PSA.WUI <- WUI(PSA.AGR*DAP.diffs, WU))

# Add cumulative responses
longi.dat <- within(longi.dat,
{
  WU.cum <- unlist(by(WU, Snapshot.ID.Tag,
                        cumulate, exclude.1st=TRUE))
  WUI.cum <- PSA / WU.cum
})
# Check longi.dat
head(longi.dat)

```

```

##   Smarthouse Lane Position DAP xDAP Snapshot.ID.Tag Snapshot.Time.Stamp
## 1          NE    1      2   28    28        045451-C 2015-02-18 02:14:00
## 2          NE    1      2   30    30        045451-C 2015-02-20 02:14:00
## 3          NE    1      2   31    31        045451-C 2015-02-21 02:14:00
## 4          NE    1      2   32    32        045451-C 2015-02-22 02:14:00
## 5          NE    1      2   33    33        045451-C 2015-02-23 02:14:00
## 6          NE    1      2   34    34        045451-C 2015-02-24 02:14:00
##   Hour Genotype.ID Treatment.1 Replicate Zone cZone SHZone ZLane ZMainunit
## 1 2.233333 121080     Control      1    1   -1    1    1    1
## 2 2.233333 121080     Control      1    1   -1    1    1    1
## 3 2.233333 121080     Control      1    1   -1    1    1    1
## 4 2.233333 121080     Control      1    1   -1    1    1    1
## 5 2.233333 121080     Control      1    1   -1    1    1    1
## 6 2.233333 121080     Control      1    1   -1    1    1    1
##   Subunit cMainPosn cPosn Weight.Before Weight.After Water.Amount WU      PSA
## 1          1     -10.5   -11       4007      4031        28   NA 57.446
## 2          1     -10.5   -11       4056      4084        32   -25 89.306
## 3          1     -10.5   -11       4036      4083        52   48 100.138
## 4          1     -10.5   -11       4027      4085        61   56 128.323
## 5          1     -10.5   -11       4019      4084        69   66 158.776
## 6          1     -10.5   -11       4014      4083        74   70 182.551
##   PSA.SV1 PSA.SV2 PSA.TV Boundary.Points.To.PSA.Ratio.SV1
## 1 20.912  11.526 25.008                         0.353912
## 2 29.073  21.495 38.738                         0.310735
## 3 27.751  26.835 45.552                         0.354293
## 4 34.697  32.848 60.778                         0.371012
## 5 46.779  37.871 74.126                         0.319823
## 6 48.849  48.794 84.908                         0.328400
##   Boundary.Points.To.PSA.Ratio.SV2 Boundary.Points.To.PSA.Ratio.TV
## 1                               0.454104                  0.197537

```

```

## 2          0.401396      0.172182
## 3          0.332364      0.174175
## 4          0.358469      0.178157
## 5          0.347179      0.172517
## 6          0.290220      0.163153
##   Caliper.Length.SV1 Caliper.Length.SV2 Caliper.Length.TV Compactness.SV1
## 1          666.013       668.692      704.189      0.0930821
## 2          632.735       729.044      830.812      0.1327200
## 3          731.077       931.028      1104.350      0.0925419
## 4          791.760       878.427      1029.300      0.0969068
## 5          830.360       965.221      1197.530      0.1241550
## 6          1103.050      991.259      1408.310      0.0938637
##   Compactness.SV2 Compactness.TV Convex.Hull.PSA.SV1 Convex.Hull.PSA.SV2
## 1          0.0689923     0.1435880     224.662      167.062
## 2          0.0734412     0.1091450     219.055      292.683
## 3          0.0678337     0.0950009     299.875      395.600
## 4          0.0707469     0.1102850     358.045      464.303
## 5          0.0783589     0.1119250     376.780      483.302
## 6          0.1014870     0.0947390     520.425      480.792
##   Convex.Hull.PSA.TV Center.Of.Mass.Y.SV1 Center.Of.Mass.Y.SV2
## 1          174.165       1841.78       1788.86
## 2          354.921       1837.62       1797.42
## 3          479.490       1826.88       1757.60
## 4          551.097       1798.03       1750.54
## 5          662.283       1796.70       1781.50
## 6          896.231       1809.42       1778.94
##   Max.Dist.Above.Horizon.Line.SV1 Max.Dist.Above.Horizon.Line.SV2 xDAT
## 1                  620          624     -1
## 2                  543          541     1
## 3                  642          633     2
## 4                  736          823     3
## 5                  658          652     4
## 6                  639          633     5
##   DAP.diffs PSA.AGR    PSA.RGR    PSA.WUI    WUI.cum WU.cum
## 1        NA     NA      NA      NA      NA     NA
## 2        2  15.930  0.2206116 -1.2744000 -3.5722400   -25
## 3        1  10.832  0.1144806  0.2256667  4.3538261    23
## 4        1  28.185  0.2480013  0.5033036  1.6243418    79
## 5        1  30.453  0.2129439  0.4614091  1.0950069   145
## 6        1  23.775  0.1395352  0.3396429  0.8490744   215

```

Step 2: Exploratory analysis

Step 2(a): Fit splines to smooth the longitudinal trends in the primary traits and calculate their growth rates

The `smoothing.method` used is `direct` and `df` is set to 4. The growth rates are calculated by difference, rather than from the spline derivatives.

```

# Smooth responses and form growth rates by differences
for (response in c(responses.image, "WU"))
  longi.dat <- byIndv4Times_SplinesGRs(data = longi.dat, response = response,

```

```

        response.smoothed = paste0("s", response),
        individuals = "Snapshot.ID.Tag", times="DAP",
        df = 4)

## Warning in FUN(X[[i]], ...): Need at least 4 distinct x values to fit a spline
## - all fitted values set to NA
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## - all fitted values set to NA
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## - all fitted values set to NA
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## - all fitted values set to NA
## Warning in FUN(X[[i]], ...): Need at least 4 distinct x values to fit a spline
## - all fitted values set to NA
## Warning in log(PGR(x, time.diffs, lag = lag)): NaNs produced

# Finalize longi.dat
longi.dat <- with(longi.dat, longi.dat[order(Snapshot.ID.Tag, xDAP), ])

```

Step 2(b): Compare plots of unsmoothed and smoothed longitudinal data

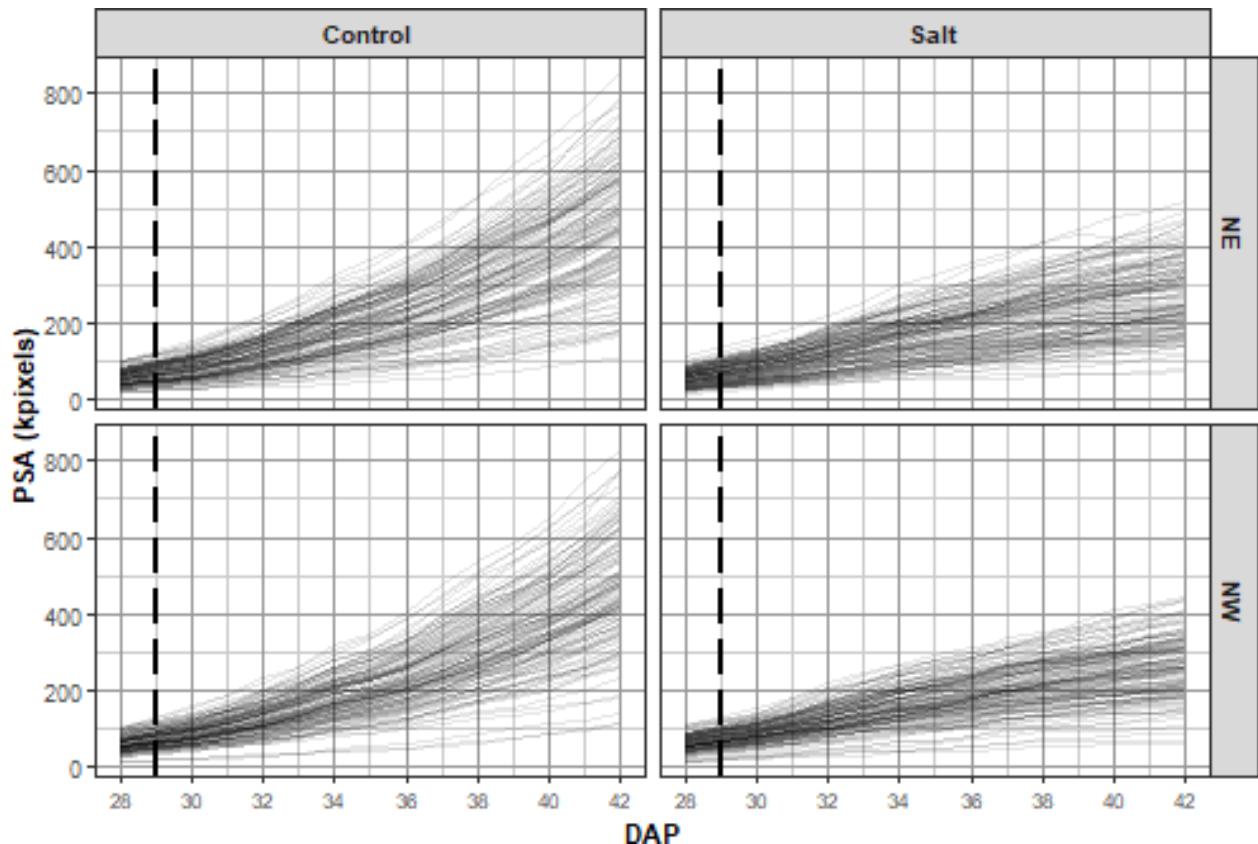
```

responses.longi <- c("PSA", "PSA.AGR", "PSA.RGR", "PSA.WUI")
responses.smooth.plot <- c("sPSA", "sPSA.AGR", "sPSA.RGR")
titles <- c("PSA (kpixels)",
           "PSA AGR (kpixels per day)", "PSA RGR (per day)",
           "PSA WUI (kpixels per mL)")
titles.smooth<-paste0("s", titles)
nresp <- length(responses.longi)

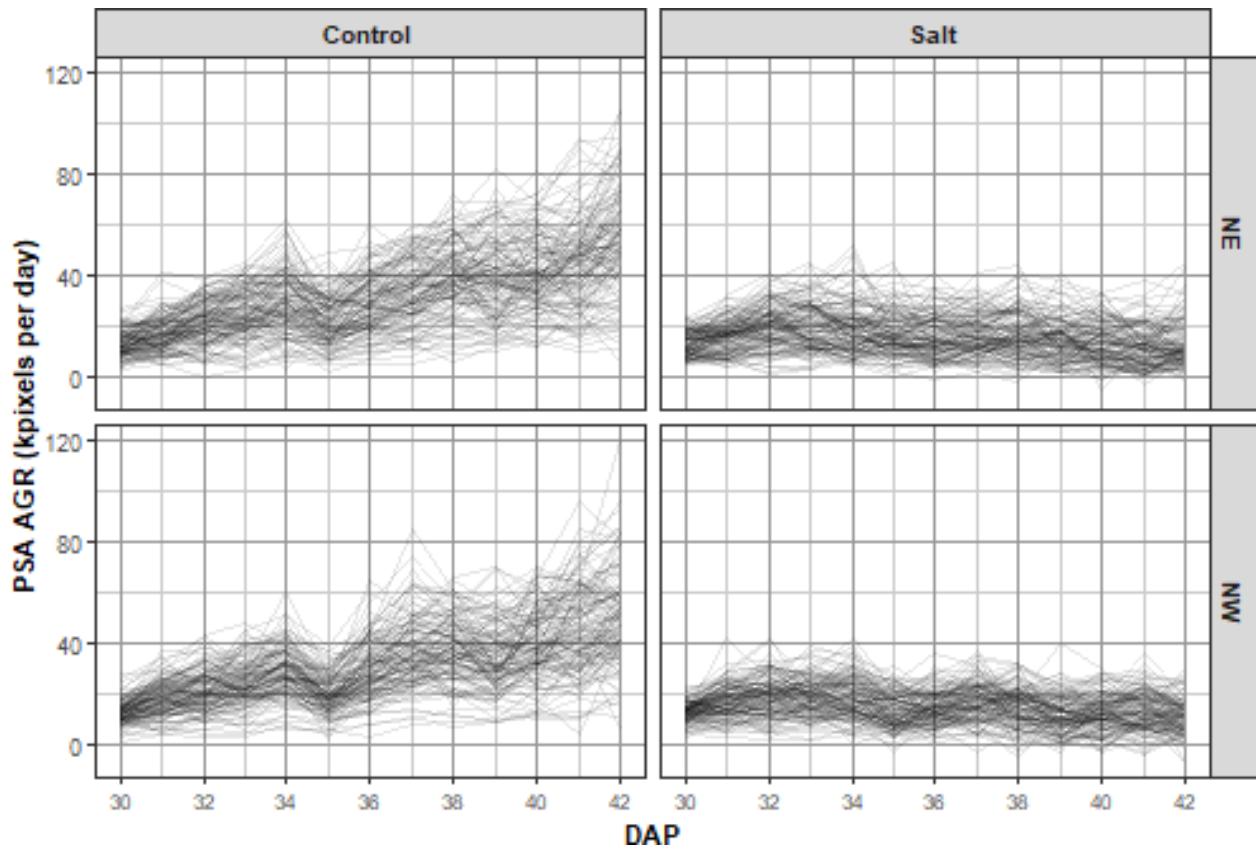
```

Plot unsmoothed profiles for all longitudinal responses

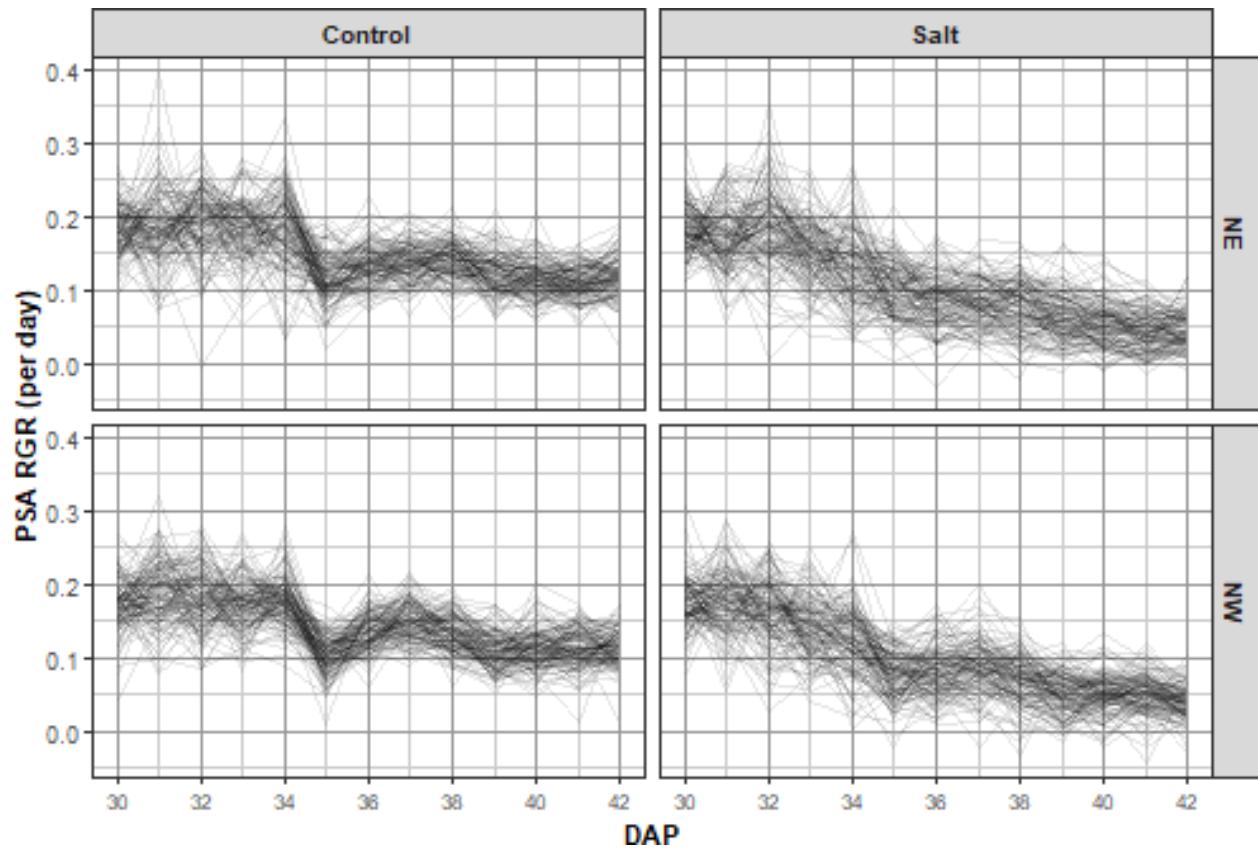
```
for (k in 1:nresp)
{
  plt <- plotProfiles(data = longi.dat, response = responses.longi[k],
                       y.title = titles[k], times = "DAP",
                       facet.x = "Treatment.1", facet.y = "Smarthouse",
                       breaks.spacing.x = 2,
                       printPlot=FALSE)
  plt <- plt + geom_vline(xintercept=29, linetype="longdash", linewidth=1)
  print(plt)
}
```



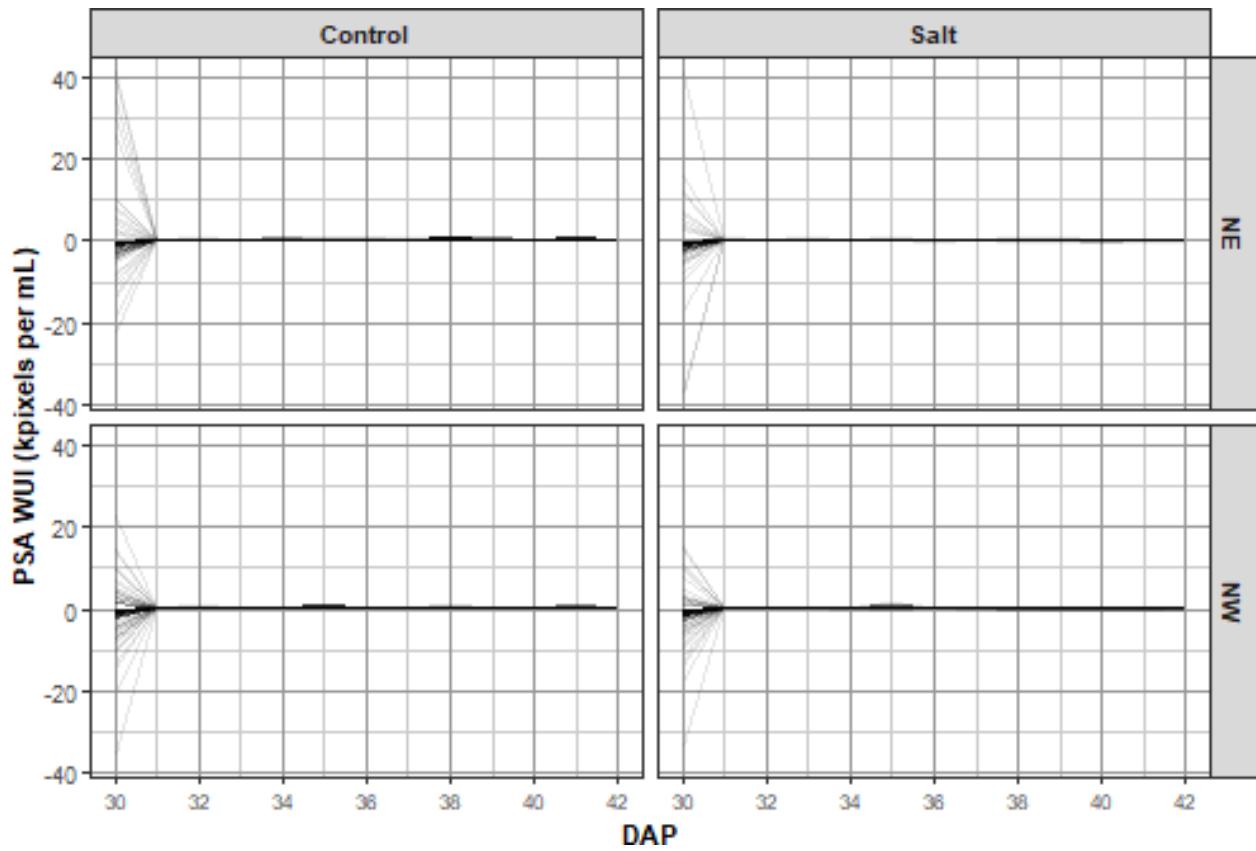
```
## Warning: Removed 4 rows containing missing values or values outside the scale range
## ('geom_vline()').
```



```
## Warning: Removed 4 rows containing missing values or values outside the scale range
## ('geom_vline()').
```



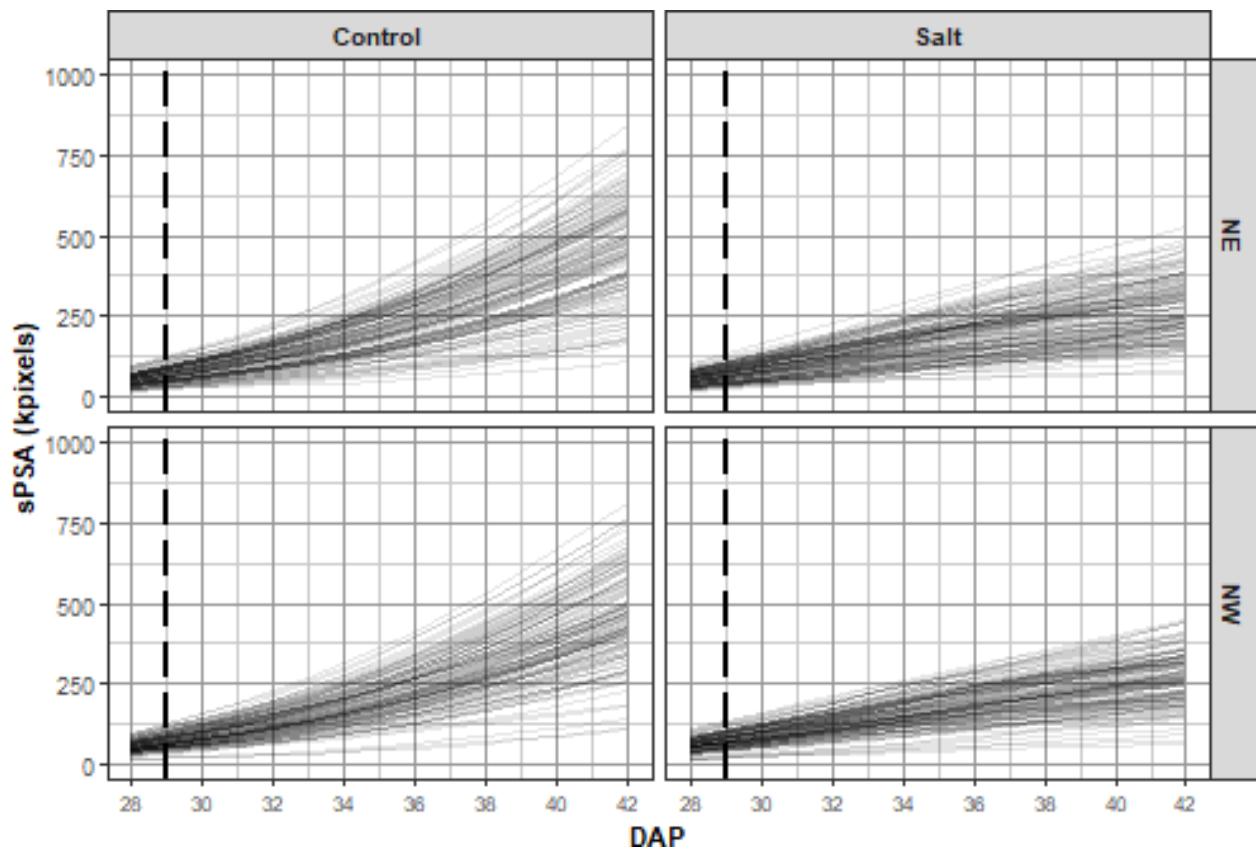
```
## Warning: Removed 4 rows containing missing values or values outside the scale range
## ('geom_vline()').
```



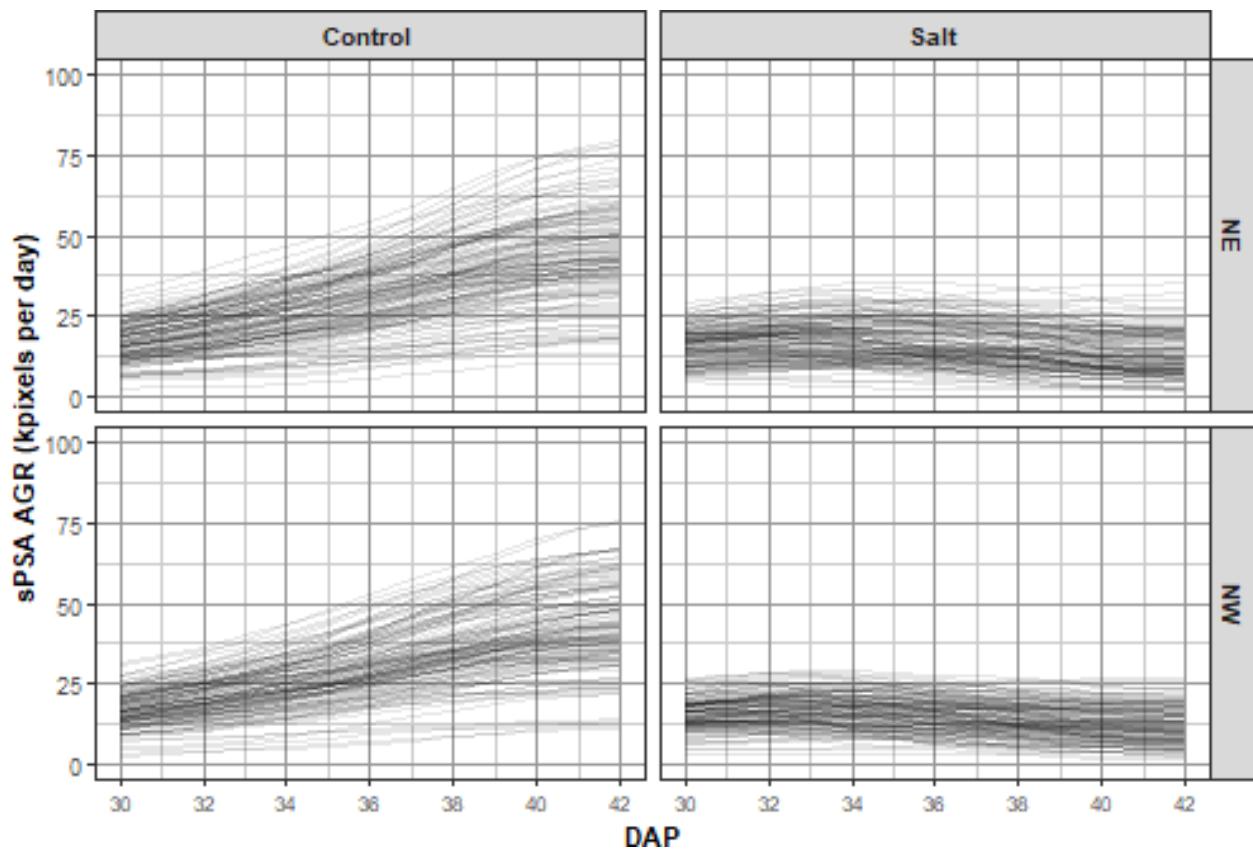
Plot smoothed profiles for all longitudinal responses

```

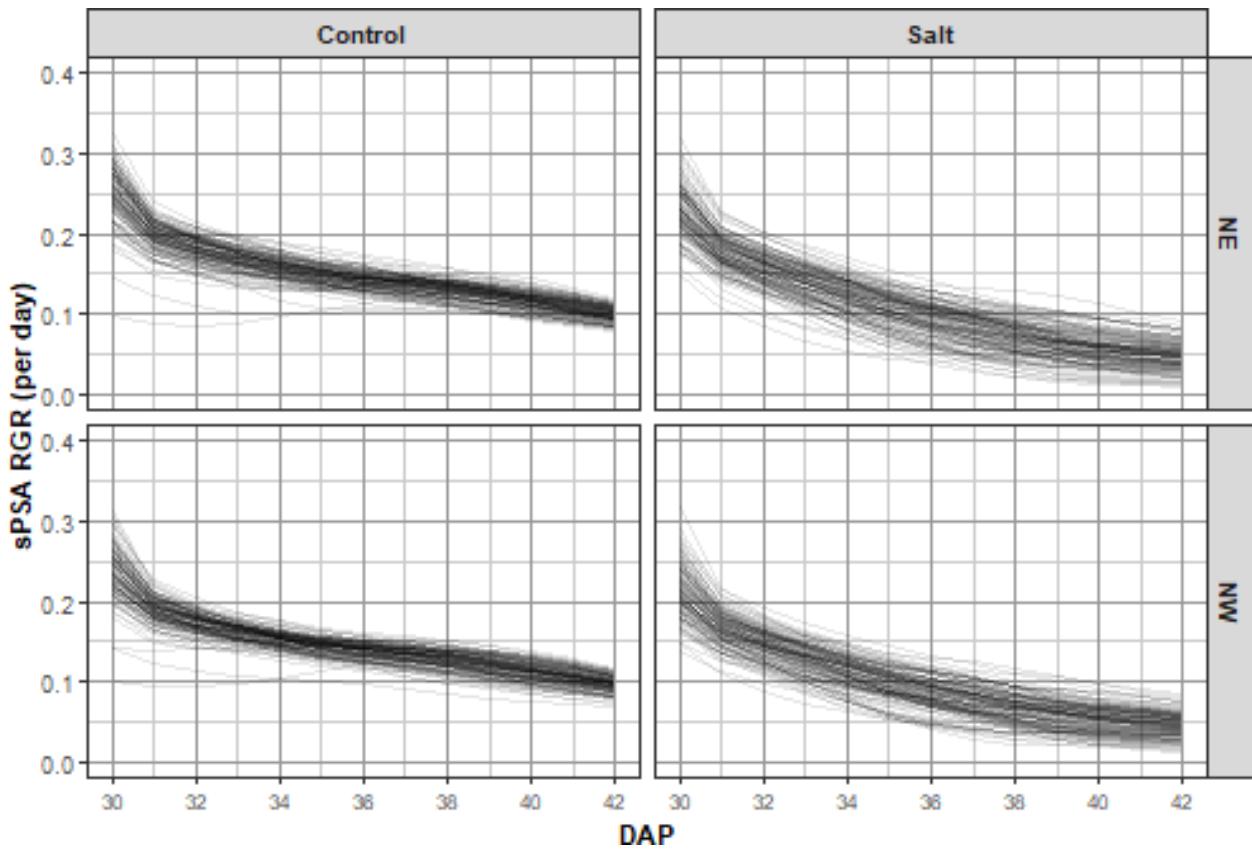
nresp.smooth <- length(responses.smooth.plot)
limits <- list(c(0,1000), c(0,100), c(0.0,0.40))
for (k in 1:nresp.smooth)
{
  plt <- plotProfiles(data = longi.dat, response = responses.smooth.plot[k],
                       y.title = titles.smooth[k], times = "DAP",
                       facet.x = "Treatment.1", facet.y = "Smarthouse",
                       breaks.spacing.x = 2,
                       printPlot=FALSE)
  plt <- plt + geom_vline(xintercept=29, linetype="longdash", linewidth=1) +
    scale_y_continuous(limits=limits[[k]])
  print(plt)
}
  
```



```
## Warning: Removed 4 rows containing missing values or values outside the scale range
## ('geom_vline()').
```



```
## Warning: Removed 4 rows containing missing values or values outside the scale range
## ('geom_vline()').
```



Step 3: Choose the smoothing method and DF

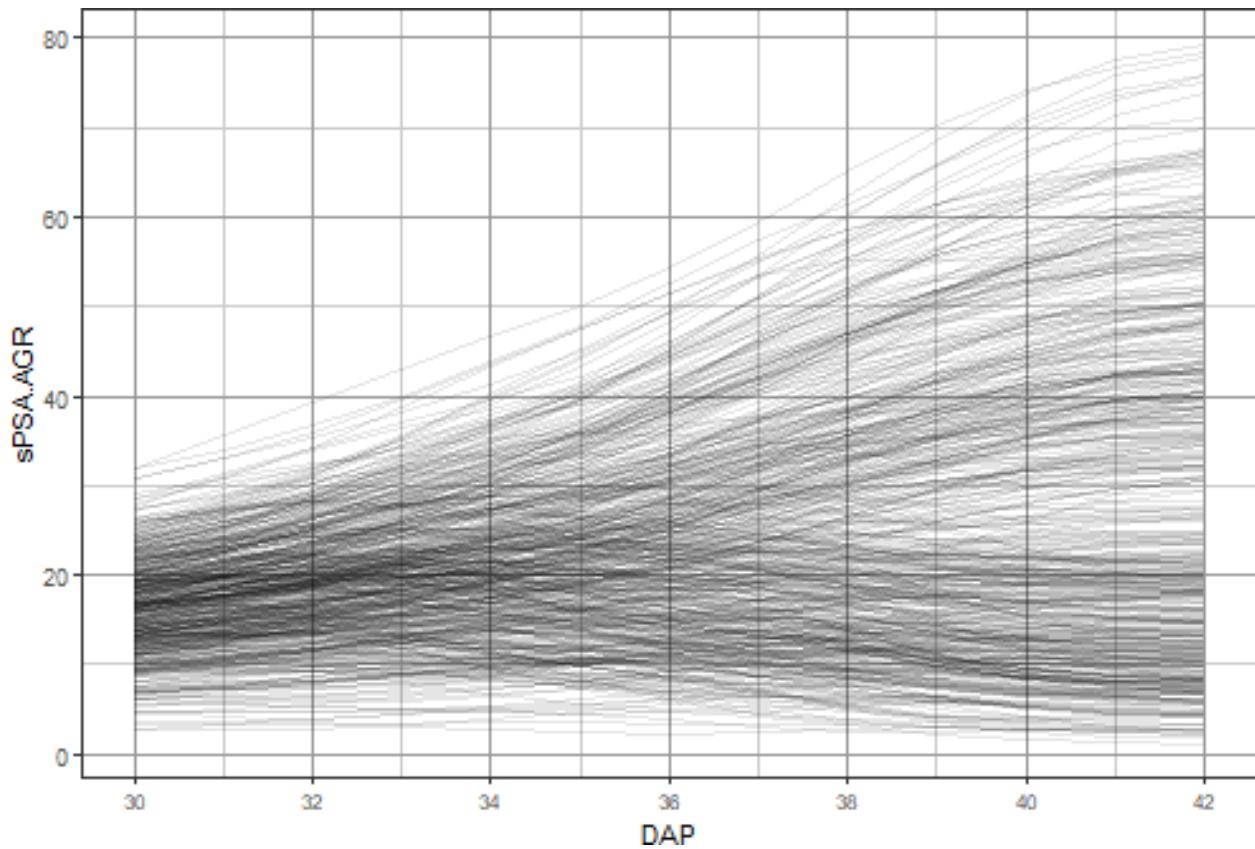
This step has been omitted.

Step 4: Identify potential outliers and clean the data

It has been decided that plants whose smoothed AGR are less than 2.5 after Day 40 are growing so slowly as to be considered anomalous. These plants are identified using `plotAnom`. Their values on Day 42 are printed. The plants are plotted without the anomalous plants followed by a plot of just the anomalous plants. The images of these anomalous plants were examined and no particular problems were identified with them. They were retained in the data.

```
anom.ID <- vector(mode = "character", length = OL)
response <- "sPSA.AGR"
cols.output <- c("Snapshot.ID.Tag", "Smarthouse", "Lane", "Position",
                 "Treatment.1", "Genotype.ID", "Replicate", "DAP")
anomalous <- plotAnom(longi.dat, response=response, lower=2.5, start.time=40,
                      times = "DAP", vertical.line=29, breaks.spacing.x = 2,
                      whichPrint=c("innerPlot"), y.title=response)
```

```
## Warning: Removed 1 row containing missing values or values outside the scale range
## (`geom_vline()`).
```



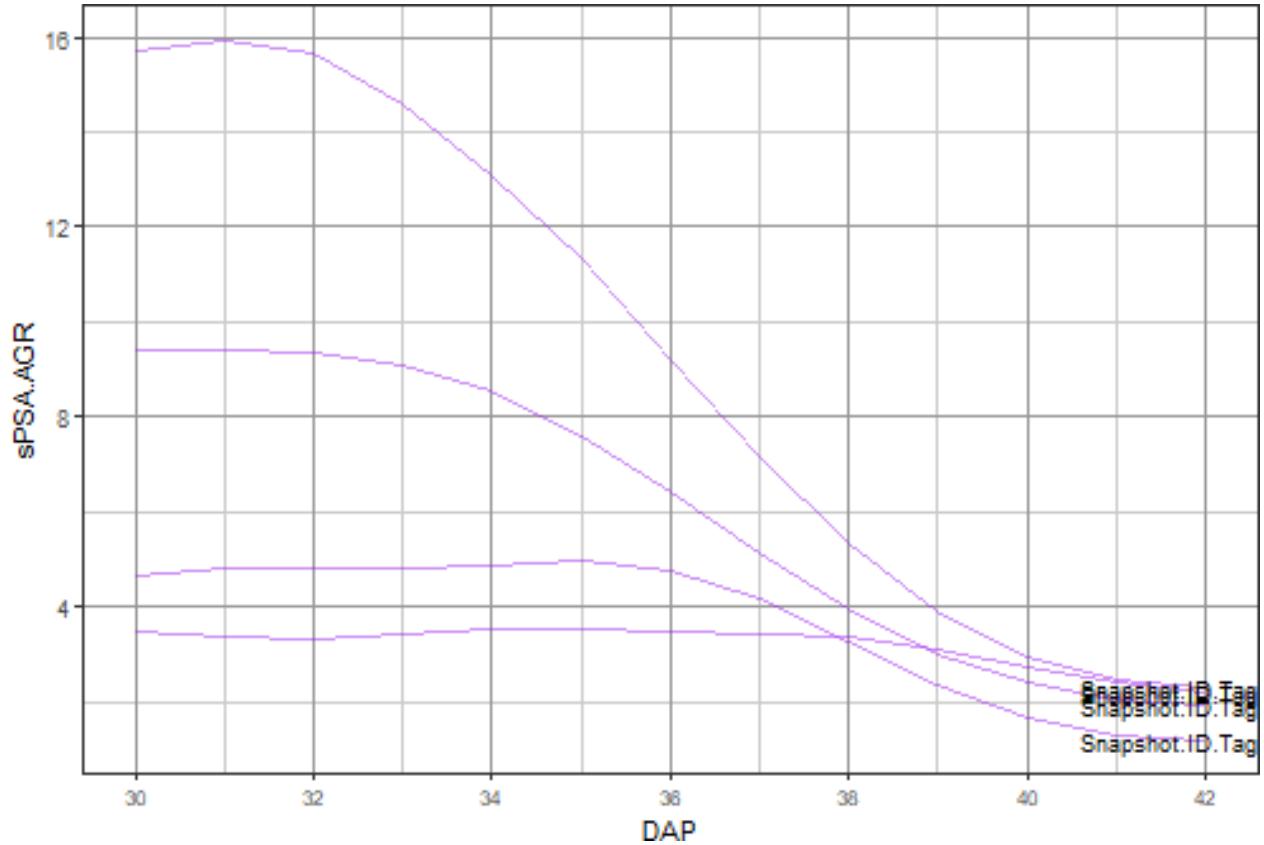
```

subs <- subset(anomalous$data, sPSA.AGR.anom & DAP==42)
if (nrow(subs) == 0)
{ cat("\n#### No anomalous data here\n\n")
} else
{
  subs <- subs[order(subs[["Smarthouse"]], subs[["Treatment.1"]], subs[[response]]),]
  print(subs[c(cols.output, response)])
  anom.ID <- unique(c(anom.ID, subs$Snapshot.ID.Tag))
  outerPlot <- anomalous$outerPlot + geom_text(data=subs,
                                                 aes(x = DAP,
                                                     y = .data[[response]],
                                                     label="Snapshot.ID.Tag"),
                                                 size=3, hjust=0.7, vjust=0.5)
  print(outerPlot)
}

```

	Snapshot.ID.Tag	Smarthouse	Lane	Position	Treatment.1	Genotype.ID	Replicate
## 1680	045575-S	NE	6	10	Salt	121701	1
## 2534	045639-S	NE	9	6	Salt	122000	1
## 5586	046144-S	NW	7	5	Salt	121133	1
## 3836	046013-S	NW	1	12	Salt	121852	2
## DAP	sPSA.AGR						
## 1680	42	1.926575					
## 2534	42	2.297119					
## 5586	42	1.199223					
## 3836	42	2.216099					

```
## Warning: Removed 1 row containing missing values or values outside the scale range
## ('geom_vline()').
```



Step 5: Extract per-cart traits

A range of single-value plant responses are formed in Snapshot.ID.Tag order.

```
#### Set up intervals
```

```
DAP.endpts <- c(31,35,38,42)
DAP.starts <- c(31,35,31,38)
DAP.stops <- c(35,38,38,42)
DAP.mids <- (DAP.starts + DAP.stops)/2
suffices <- paste(DAP.starts, DAP.stops, sep = "to")
```

Step 5(a): Set up a data frame with factors only

```
indv.dat <- longi.dat[longi.dat$DAP == DAP.endpts[1],
                     c("Smarthouse", "Lane", "Position", "Snapshot.ID.Tag",
                       "cPosn", "cMainPosn",
                       "Zone", "cZone", "SHZone", "ZLane", "ZMainunit", "Subunit",
                       "Genotype.ID", "Treatment.1")]
indv.dat <- indv.dat[do.call(order, indv.dat), ]
```

Step 5(b): Get responses based on first and last date.

```

# Observation for first and last date
indv.dat <- cbind(indv.dat, getTimesSubset(data = longi.dat, responses = responses.image,
                                             times = "DAP", which.times = DAP.endpts[1],
                                             suffix = "first"))
indv.dat <- cbind(indv.dat, getTimesSubset(data = longi.dat, responses = responses.image,
                                             times = "DAP",
                                             which.times = DAP.endpts[length(DAP.endpts)],
                                             suffix = "last"))
indv.dat <- cbind(indv.dat, getTimesSubset(data = longi.dat, responses = "WUI.cum",
                                             times = "DAP",
                                             which.times = DAP.endpts[length(DAP.endpts)],
                                             suffix = "last"))
responses.smooth <- paste0("s", responses.image)
indv.dat <- cbind(indv.dat, getTimesSubset(data = longi.dat, responses = responses.smooth,
                                             times = "DAP", which.times = DAP.endpts[1],
                                             suffix = "first"))
indv.dat <- cbind(indv.dat, getTimesSubset(data = longi.dat, responses = responses.smooth,
                                             times = "DAP",
                                             which.times = DAP.endpts[length(DAP.endpts)],
                                             suffix = "last"))

# Growth rates over whole period.
(tottime <- DAP.endpts[length(DAP.endpts)] - DAP.endpts[1]) #= 11

```

```
## [1] 11
```

```

indv.dat <- within(indv.dat,
{
  PSA.AGR.full <- (PSA.last - PSA.first)/tottime
  PSA.RGR.full <- log(PSA.last / PSA.first)/tottime
})

# Calculate water index over whole period
indv.dat <- merge(indv.dat,
  byIndv4Intvl_WaterUse(data = longi.dat,
    water.use = "WU", response = "PSA",
    trait.types = c("WUI", "WUR", "WU"),
    times = "DAP",
    start.time = DAP.endpts[1],
    end.time = DAP.endpts[length(DAP.endpts)]),
  by = c("Snapshot.ID.Tag"))

```

Step 5(c): Add growth rates and water indices for intervals

```

# Growth rates for specific intervals from the smoothed data by differencing
for (r in responses.smooth)
{
  for (k in 1:length(suffices))

```

```

    }
    indv.dat <- merge(indv.dat,
                        byIndv4Intvl_GRsDiff(data = longi.dat, responses = r,
                                              times = "DAP",
                                              which.rates = c("AGR", "RGR"),
                                              start.time = DAP.starts[k],
                                              end.time = DAP.stops[k],
                                              suffix.interval = suffices[k]),
                        by = "Snapshot.ID.Tag")
}
}

# Water indices for specific intervals from the unsmoothed and smoothed data
for (k in 1:length(suffices))
{
  indv.dat <- merge(indv.dat,
                      byIndv4Intvl_WaterUse(data = longi.dat,
                                             water.use = "WU", responses = "PSA",
                                             times = "DAP",
                                             trait.types = c("WU", "WUR", "WUI"),
                                             start.time = DAP.starts[k],
                                             end.time = DAP.stops[k],
                                             suffix.interval = suffices[k]),
                      by = "Snapshot.ID.Tag")
}

indv.dat <- with(indv.dat, indv.dat[order(Snapshot.ID.Tag), ])

```

Form continuous and interval SIITs

This experiment involved the extra step of calculating a measure of shoot ion-independent tolerance (SIIT) of pairs of plants, control and a salt-treated co-located plants.

Calculate continuous values

```

cols.retained <- c("Snapshot.ID.Tag", "Smarthouse", "Lane", "Position",
                    "DAP", "Snapshot.Time.Stamp", "Hour", "xDAP",
                    "Zone", "cZone", "SHZone", "ZLane", "ZMainunit",
                    "cMainPosn", "Genotype.ID")
responses.GR <- c("sPSA.AGR", "sPSA.AGR", "sPSA.RGR")
suffices.results <- c("diff", "SIIT", "SIIT")
responses.SIIT <- unlist(Map(paste, responses.GR, suffices.results, sep=". "))

longi.SIIT.dat <-
  twoLevelOpcreate(data = longi.dat, responses = responses.GR, suffices.treatment=c("C", "S"),
                    operations = c("-", "/", "/"), suffices.results = suffices.results,
                    columns.retained = cols.retained,
                    by = c("Smarthouse", "Zone", "ZMainunit", "DAP"))
longi.SIIT.dat <- with(longi.SIIT.dat,
                        longi.SIIT.dat[order(Smarthouse, Zone, ZMainunit, DAP), ])

```

```

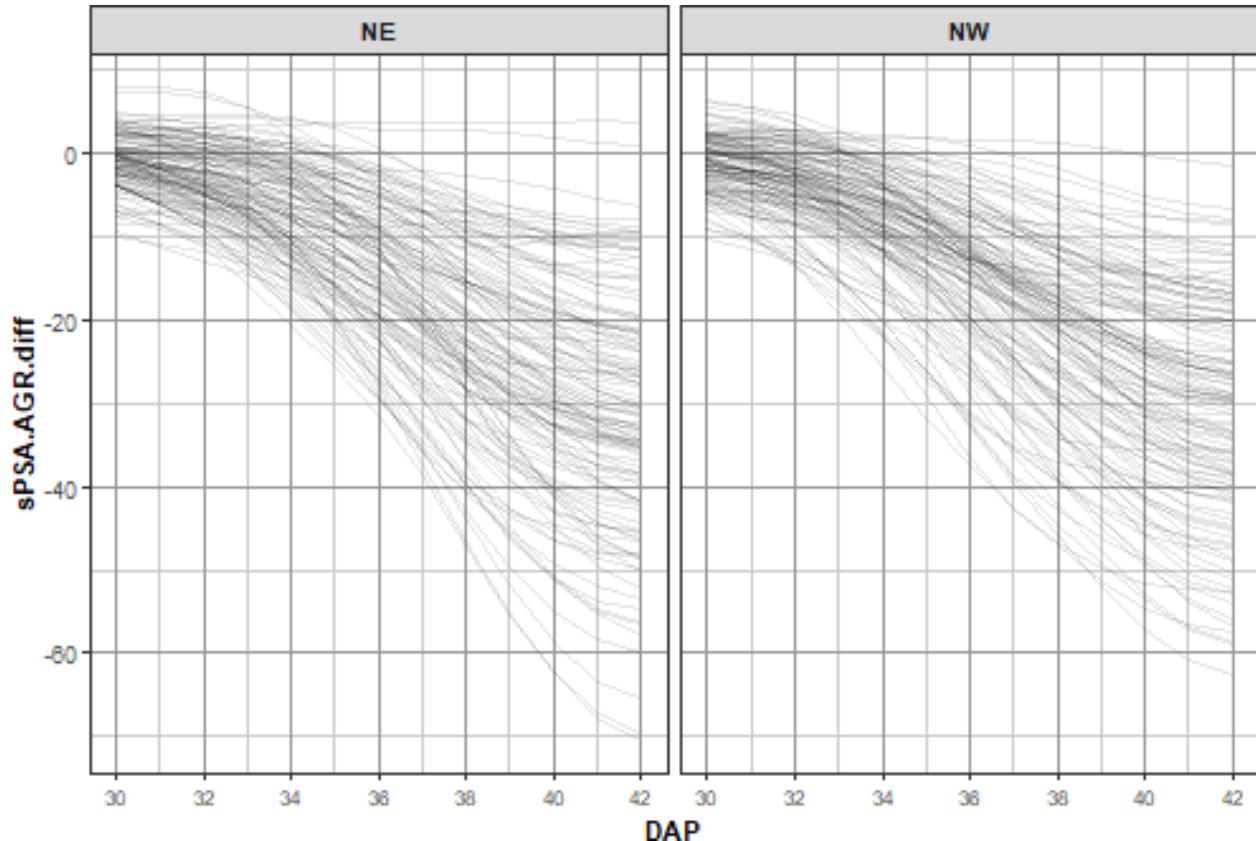
# Plot SIIT profiles
k <- 2
nresp <- length(responses.SIIT)
limits <- with(longi.SIIT.dat, list(c(min(sPSA.AGR.diff, na.rm=TRUE),
                                      max(sPSA.AGR.diff, na.rm=TRUE)),
                                      c(0,3),
                                      c(0,1.5)))
#Plots
for (k in 1:nresp)
{
  plt <- plotProfiles(data = longi.SIIT.dat, times = "DAP",
                        response = responses.SIIT[k],
                        y.title=responses.SIIT[k],
                        facet.x="Smarthouse", facet.y=".",
                        breaks.spacing.x = 2, printPlot=FALSE, )
  plt <- plt + geom_vline(xintercept=29, linetype="longdash", linewidth=1) +
    scale_y_continuous(limits=limits[[k]])
  print=plt)
}

```

```

## Warning: Removed 2 rows containing missing values or values outside the scale range
## ('geom_vline()').

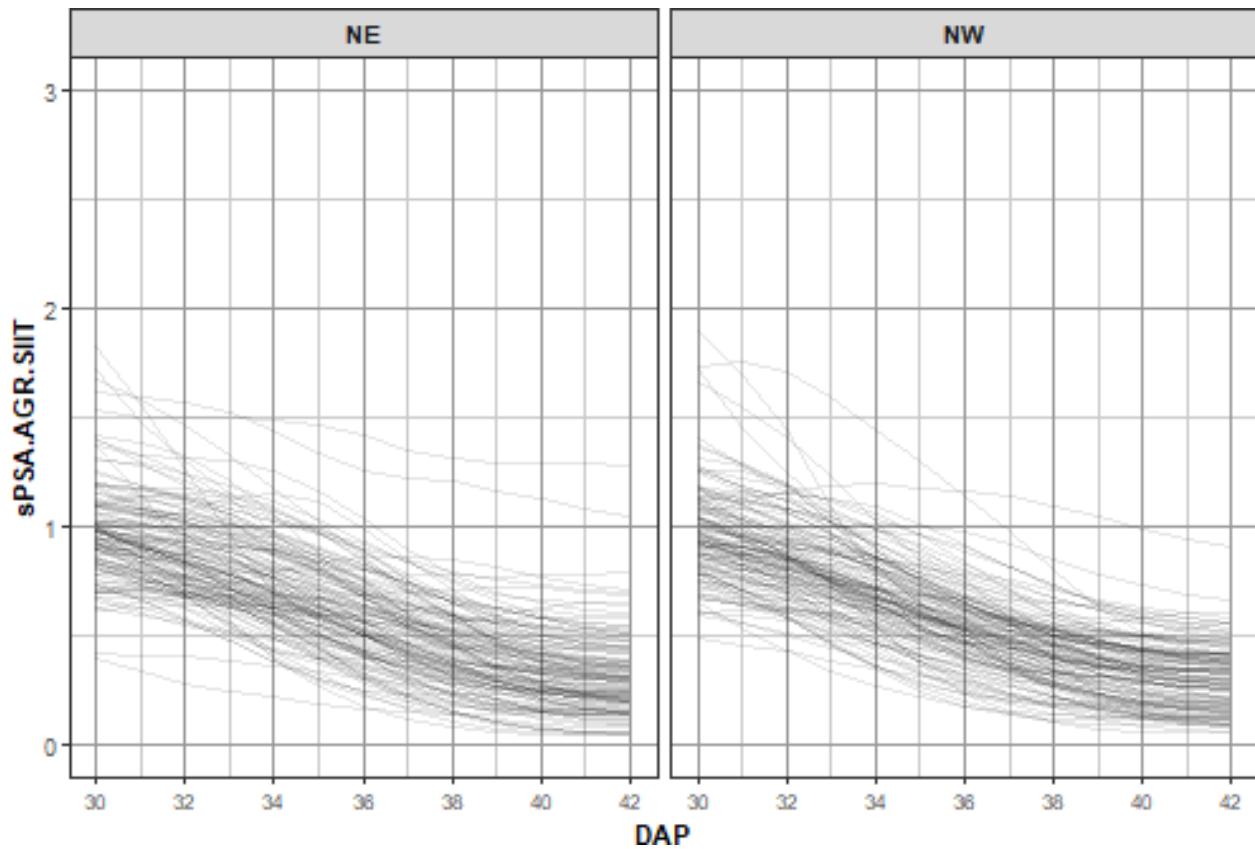
```



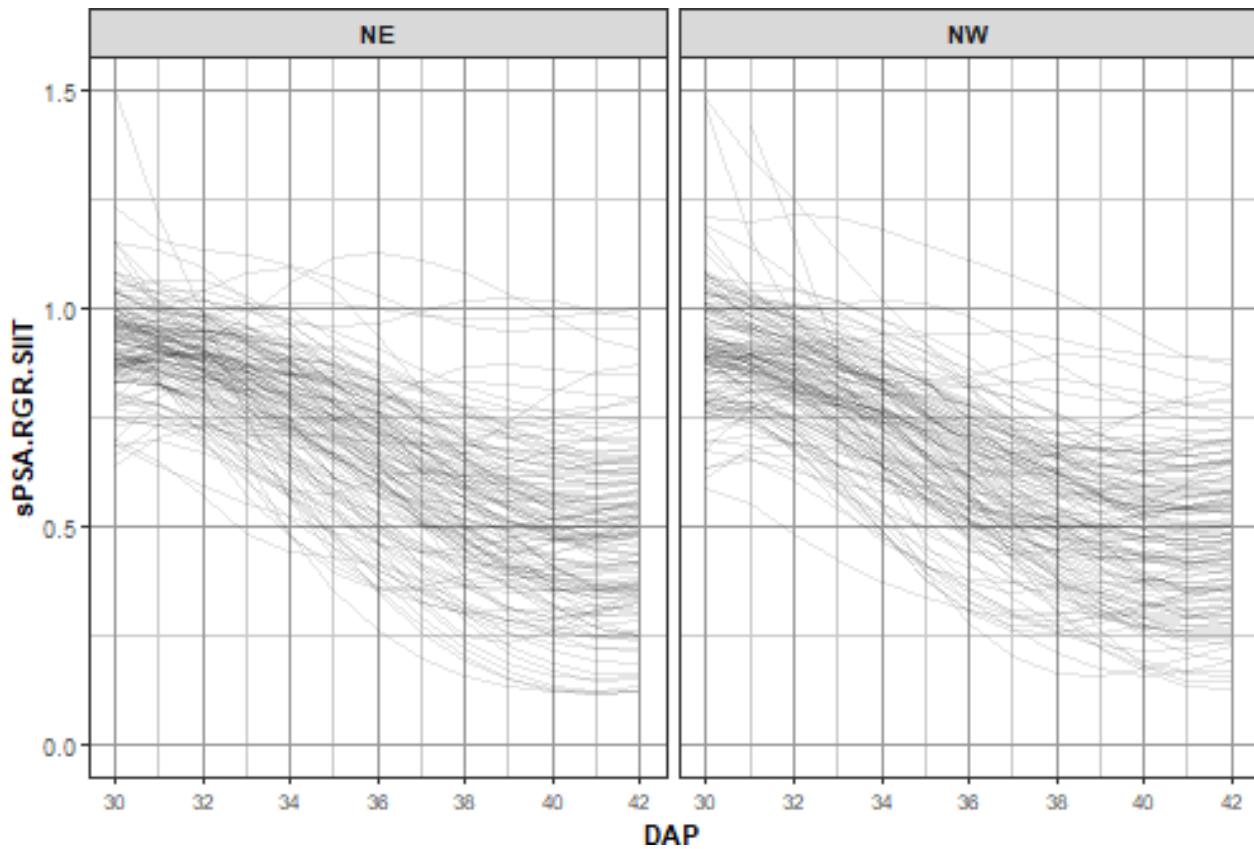
```

## Warning: Removed 2 rows containing missing values or values outside the scale range
## ('geom_vline()').

```



```
## Warning: Removed 1 row containing missing values or values outside the scale range
## ('geom_line()').
## Removed 2 rows containing missing values or values outside the scale range
## ('geom_vline()').
```



Calculate interval SIITs and check for large values for SIIT for Days 31to35

```

response <- "sPSA.RGR.31to35"
SIIT <- paste(response, "SIIT", sep=".") 
responses.SIITinterval <- as.vector(outer("sPSA.RGR", suffices, paste, sep="."))
 
indv.SIIT.dat <- twoLevelOpcreate(data = indv.dat, responses = responses.SIITinterval,
                                     suffices.treatment=c("C","S"),
                                     suffices.results="SIIT",
                                     columns.suffixed="Snapshot.ID.Tag")

tmp<-na.omit(indv.SIIT.dat)
print(summary(tmp[SIIT]))


## sPSA.RGR.31to35.SIIT
## Min.    :0.4077
## 1st Qu.:0.7120
## Median :0.7961
## Mean   :0.7876
## 3rd Qu.:0.8663
## Max.   :1.1885

big.SIIT <- with(tmp, tmp[tmp[SIIT] > 1.15, c("Snapshot.ID.Tag.C","Genotype.ID",
                                               paste(response,"C",sep="."),

```

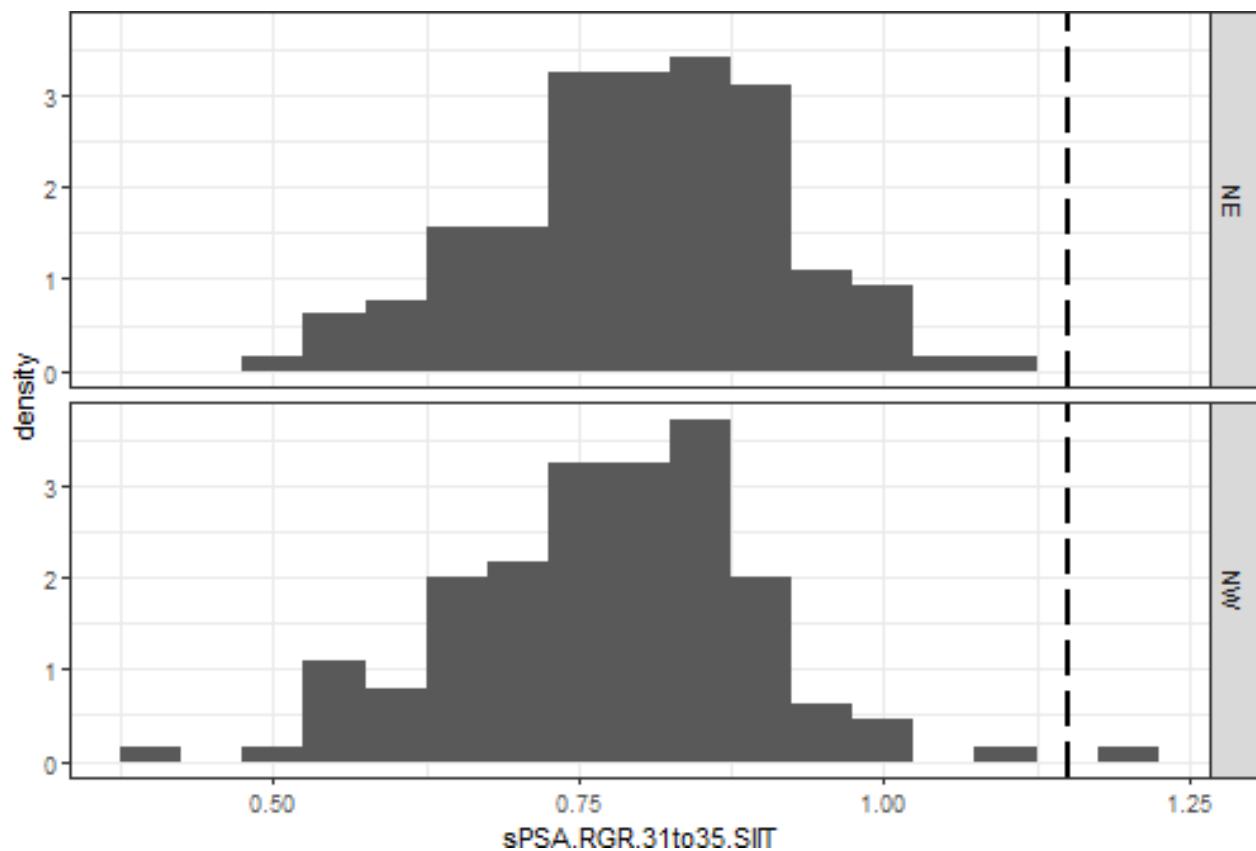
```

                paste(response, "S", sep=". "), SIIT)])
if (nrow(big.SIIT) > 1)
  big.SIIT <- big.SIIT[order(big.SIIT[SIIT]),]
print(big.SIIT)

##      Snapshot.ID.Tag.C Genotype.ID sPSA.RGR.31to35.C sPSA.RGR.31to35.S
## 193          046129-C           122090       0.1310631      0.1557642
##      sPSA.RGR.31to35.SIIT
## 193           1.188467

plt <- ggplot(tmp, aes(.data[[SIIT]])) +
  geom_histogram(aes(y = after_stat(density)), binwidth=0.05) +
  geom_vline(xintercept=1.15, linetype="longdash", linewidth=1) +
  theme_bw() + facet_grid(Smarthouse ~.)
print(plt)

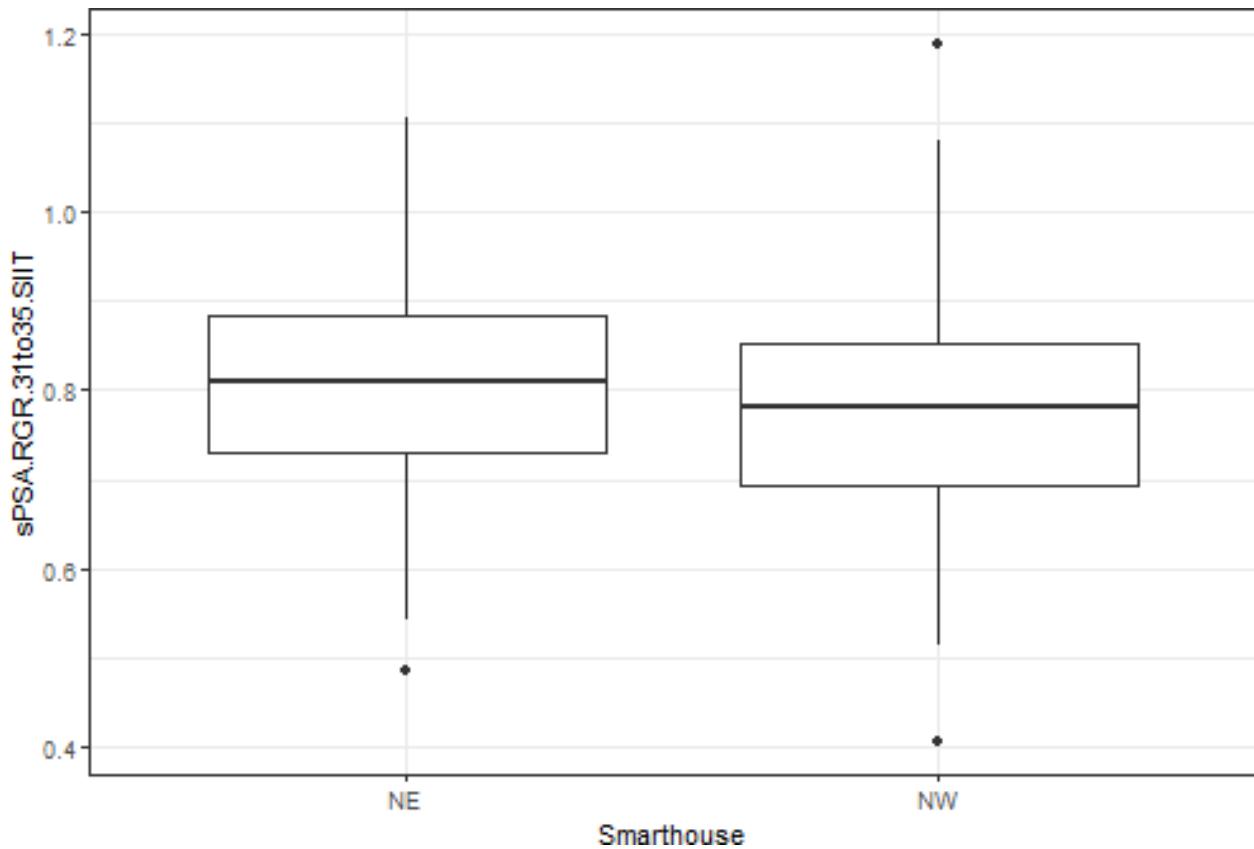
```



```

plt <- ggplot(tmp, aes(x=Smarthouse, y=.data[[SIIT]])) +
  geom_boxplot() + theme_bw()
print(plt)

```



```
remove(tmp)
```

Save image

```
save.image("Rice.RData")
```

References

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- Butler, D. G., Cullis, B. R., Gilmour, A. R., Gogel, B. J., & Thompson, R. (2023). *ASReml-R reference manual*, Version 4.2. <http://asreml.org>.
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