

Generally Applicable Gene-set/Pathway Analysis

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Abstract

In this vignette, we demonstrate the *gage* package for gene set or pathway analysis. The *gage* package implement the GAGE method. GAGE is generally applicable independent of microarray and RNA-Seq data attributes including sample sizes, experimental designs, assay platforms, and other types of heterogeneity, and consistently achieves superior performance over other frequently used methods. We introduce functions and data for routine and advanced gene set analysis, as well as results presentation and interpretation. We also cover package installation, data preparation and common application errors. The secondary vignette, "Gene set and data preparation", covers more on data preparation.

1 Introduction

Gene set analysis (GSA) is a powerful strategy to infer functional and mechanistic changes from high through microarray or sequencing data. GSA focuses on sets of related genes and has established major advantages over per-gene based different expression analyses, including greater robustness, sensitivity and biological relevance. However, classical GSA methods only have limited usage to a small number of microarray or RNA-Seq studies as they cannot handle datasets of different sample sizes, experimental designs and other types of heterogeneity (Luo et al., 2009). To address these limitations, we present a new GSA method called Generally Applicable Gene-set Enrichment (GAGE) (Luo et al., 2009). We have validated GAGE method extensively against the most widely used GSA methods in both applicability and performance (Luo et al., 2009). In this manual, we focus on the implementation and usage of the R package, *gage*.

In *gage* package, we provide a series of functions for basic GAGE analysis, result processing and presentation. We have also built pipeline routines for of multiple GAGE analysis on different comparisons or samples, the comparison of parallel analysis results, and even the combined analysis of heterogeneous data from different sources/studies.

This package also supplies an example microarray dataset. In GAGE paper (Luo et al., 2009) and the old versions of *gage* package, we used a BMP6 microarray experiment as the demo data. Here we choose to use another published microarray dataset from GEO,

GSE16873 (Emery et al., 2009), as our primary demo data, as to show more and advanced functionality and applications of GAGE with this update package. GSE16873 is a breast cancer study (Emery et al., 2009), which covers twelve patient cases, each with HN (histologically normal), ADH (ductal hyperplasia), and DCIS (ductal carcinoma in situ) RMA samples. Hence, there are $12 \times 3 = 36$ microarray hybridizations or samples interesting to us plus 4 others less interesting in this full dataset. Due to the size limit of this package, we split this GSE16873 into two halves, each including 6 patients with their HN and DCIS but not ADH tissue types. Raw data for these two halves were processed using two different methods, FARMS (Hochreiter et al., 2006) and RMA (Irizarry et al., 2003), respectively to generate the non-biological data heterogeneity. This *gage* package, we only include the first half dataset for 6 patients (processed using FARMS). The second half dataset plus the full dataset (including all HN, ADH and DCIS samples of all 12 patients, processed together using FARMS) and the original BMP6 dataset is supplied with a data package, *gageData*. Most of our demo analyses will be done on the first half dataset, except for the advanced analysis where we use both halves datasets with all 12 patients. The *gage* package also includes useful human gene set data derived from KEGG pathway and Gene Ontology databases. We also supply extra gene set data for other species including mouse, rat and yeast in the data package, *gageData*, available from the bioconductor website. In addition, the users may derive other own gene sets using tools like *GSEABase* package.

The manual is written by assuming the user has minimal R/Bioconductor knowledge. Some descriptions and code chunks cover very basic usage of R. The more experienced users may simply omit these parts.

2 Citation

The open-source *gage* package implements GAGE method. Please cite the following paper in your publication if you use the software. We appreciate your support of our work.

Weijun Luo, Michael Friedman, Kerby Shedden, Kurt Hankenson, and Peter Woolf. GAGE: generally applicable gene set enrichment for pathway analysis. BMC Bioinformatics, 2009.

3 Installation

Assume R has been correctly installed and accessible under current directory. Otherwise, please contact your system admin or follow the instructions on R website.

Start R: from Linux/Unix command line, type `R` (Enter); for Mac or Windows GUI, double click the R application icon to enter R console.

End R: type in `q()` when you are finished with the analysis using R, but not now.

Two options:

Either install with Bioconductor installation script `biocLite` directly:

```
> source("http://bioconductor.org/biocLite.R")
> biocLite(c("gage", "gageData"))
```

Or install without using Bioconductor: Download `gage` and `gageData` packages (make sure with proper version number and zip format) and save to `/your/local/directory/`.

```
> install.packages(c("/your/local/directory/gage_2.9.1.tar.gz",
+ "/your/local/directory/gageData_1.0.0.tar.gz"),
+ repos = NULL, type = "source")
```

4 Get Started

Under R, first we load the `gage` package:

```
> library(gage)
```

To see a brief overview of the package:

```
> library(help=gage)
```

To get help on any function (say the main function, `gage`), use the `help` command in either one of the following two forms:

```
> help(gage)
> ?gage
```

5 Basic Analysis

GAGE (Luo et al., 2009) is a widely applicable method for gene set or pathway analysis. In such analysis, we check for coordinated differential expression over gene sets instead of changes of individual genes. Gene sets are pre-defined groups of genes, which are functionally related. Commonly used gene sets include those derived from KEGG pathways, Gene Ontology terms, gene groups that share some other functional annotations, including common transcriptional regulators (like transcription factors, small interfering RNA's or siRNA etc), genomic locations etc. Consistent perturbations over such gene sets frequently suggest mechanistic changes. GSA is much more robust and sensitive than individual gene analysis (Luo et al., 2009), especially considering the functional redundancy of individual genes and the noise level of high throughput assay data. GAGE method implemented in this package makes GSA feasible to all microarray and RNA-Seq studies, irrespective of the sample size, experiment design, array platform etc. Let's start with the most basic

gene set analysis. Note that both the demo expression data and gene set data are ready here, if not, please check vignette, "Gene set and data preparation", for data preparation.

We load and look at the demo microarray data first. Note that although we use microarray data as example, GAGE is equally applicable to RNA-Seq data and other types of gene/protein centered high throughput data.

```
> data(gse16873)
> cn=colnames(gse16873)
> hn=grep('HN',cn, ignore.case =T)
> adh=grep('ADH',cn, ignore.case =T)
> dcis=grep('DCIS',cn, ignore.case =T)
> print(hn)
```

```
[1] 1 3 5 7 9 11
```

```
> print(dcis)
```

```
[1] 2 4 6 8 10 12
```

Check to make sure your gene sets and your expression data are using the same ID system (Entrez Gene ID, Gene symbol, or probe set ID etc). Entrez Gene IDs are integer numbers, Gene symbols are characters, and probe set IDs (Affymetrix GeneChip) are characters with `_at` suffix. When gene sets and expression data do use different types of ID, please check vignette, "Gene set and data preparation", for ID conversion solutions.

```
> data(kegg.gs)
> data(go.gs)
> lapply(kegg.gs[1:3],head)
```

```
$`hsa00010 Glycolysis / Gluconeogenesis`
[1] "10327" "124" "125" "126" "127" "128"
```

```
$`hsa00020 Citrate cycle (TCA cycle)`
[1] "1431" "1737" "1738" "1743" "2271" "3417"
```

```
$`hsa00030 Pentose phosphate pathway`
[1] "2203" "221823" "226" "229" "22934" "230"
```

```
> head(rownames(gse16873))
```

```
[1] "10000" "10001" "10002" "10003" "100048912" "10004"
```

We normally do GAGE analysis using gene sets derived from on KEGG pathways or GO term groups. Here we use the human gene set data coming with this package with the human microarray dataset GSE16873. Note that `kegg.gs` has been updated since gage version 2.9.1. From then, `kegg.gs` only include the subset of canonical signaling and metabolic pathways from KEGG pathway database, and `kegg.gs.dise` is the subset of disease pathways. And it is recommended to do KEGG pathway analysis with either `kegg.gs` or `kegg.gs.dise` separately (rather than combined altogether) for better defined results. `go.gs` derived from human GO database only includes 1000 gene sets due to size limit. For full `go.gs` or gene sets data for other species, we may always use the `gageData` package or compile our own gene set data.

Here we look at the expression changes towards one direction (either up- or down-regulation) in the gene sets. The results of such 1-directional analysis is a list of two matrices, corresponding to the two directions. Each result matrix contains multiple columns of test statistics and p-/q-values for all tested gene sets. Among them, `p.val` is the global p-value and `q.val` is the corresponding FDR q-value. Gene sets are ranked by significance. Type `?gage` for more information.

```
> gse16873.kegg.p <- gage(gse16873, gsets = kegg.gs,
+   ref = hn, samp = dcis)
> #go.gs here only the first 1000 entries as a fast example.
> gse16873.go.p <- gage(gse16873, gsets = go.gs,
+   ref = hn, samp = dcis)
> str(gse16873.kegg.p, strict.width='wrap')
```

List of 3

```
$ greater: num [1:177, 1:11] 0.000216 0.001497 0.004771 0.003718 0.01862 ...
..- attr(*, "dimnames")=List of 2
.. ..$ : chr [1:177] "hsa04141 Protein processing in endoplasmic reticulum"
"hsa00190 Oxidative phosphorylation" "hsa03050 Proteasome" "hsa04142
Lysosome" ...
.. ..$ : chr [1:11] "p.geomean" "stat.mean" "p.val" "q.val" ...
$ less : num [1:177, 1:11] 0.000798 0.005628 0.02764 0.069383 0.089991 ...
..- attr(*, "dimnames")=List of 2
.. ..$ : chr [1:177] "hsa03010 Ribosome" "hsa04510 Focal adhesion" "hsa04270
Vascular smooth muscle contraction" "hsa04020 Calcium signaling pathway" ...
.. ..$ : chr [1:11] "p.geomean" "stat.mean" "p.val" "q.val" ...
$ stats : num [1:177, 1:7] 3.52 2.85 2.63 2.54 2.12 ...
..- attr(*, "dimnames")=List of 2
.. ..$ : chr [1:177] "hsa04141 Protein processing in endoplasmic reticulum"
"hsa00190 Oxidative phosphorylation" "hsa03050 Proteasome" "hsa04142
Lysosome" ...
.. ..$ : chr [1:7] "stat.mean" "DCIS_1" "DCIS_2" "DCIS_3" ...
```

```
> head(gse16873.kegg.p$greater[, 1:5], 4)
```

	p.geomean	stat.mean		
hsa04141 Protein processing in endoplasmic reticulum	0.0002164597	3.517131		
hsa00190 Oxidative phosphorylation	0.0014970021	2.848815		
hsa03050 Proteasome	0.0047708296	2.631099		
hsa04142 Lysosome	0.0037176143	2.541611		
	p.val	q.val		
hsa04141 Protein processing in endoplasmic reticulum	9.236559e-18	1.477850e-15		
hsa00190 Oxidative phosphorylation	3.279350e-12	2.623480e-10		
hsa03050 Proteasome	2.108534e-10	1.124551e-08		
hsa04142 Lysosome	4.027638e-10	1.611055e-08		
	set.size			
hsa04141 Protein processing in endoplasmic reticulum	144			
hsa00190 Oxidative phosphorylation	97			
hsa03050 Proteasome	39			
hsa04142 Lysosome	108			

```
> head(gse16873.kegg.p$less[, 1:5], 4)
```

	p.geomean	stat.mean	p.val	
hsa03010 Ribosome	0.0007984718	-2.832681	2.849399e-11	
hsa04510 Focal adhesion	0.0056279715	-2.086653	2.071403e-07	
hsa04270 Vascular smooth muscle contraction	0.0276403091	-1.817748	5.044327e-06	
hsa04020 Calcium signaling pathway	0.0693830829	-1.463336	1.755081e-04	
	q.val	set.size		
hsa03010 Ribosome	4.559039e-09	38		
hsa04510 Focal adhesion	1.657123e-05	190		
hsa04270 Vascular smooth muscle contraction	2.690308e-04	102		
hsa04020 Calcium signaling pathway	7.020325e-03	159		

```
> head(gse16873.kegg.p$stats[, 1:5], 4)
```

	stat.mean	DCIS_1		
hsa04141 Protein processing in endoplasmic reticulum	3.517131	4.184493		
hsa00190 Oxidative phosphorylation	2.848815	4.066860		
hsa03050 Proteasome	2.631099	3.239365		
hsa04142 Lysosome	2.541611	2.382849		
	DCIS_2	DCIS_3		
hsa04141 Protein processing in endoplasmic reticulum	4.526776	2.6444345		
hsa00190 Oxidative phosphorylation	3.586117	0.6245743		
hsa03050 Proteasome	2.792570	2.0223035		

```

hsa04142 Lysosome 1.537943 4.5333081
DCIS_4
hsa04141 Protein processing in endoplasmic reticulum 3.242629
hsa00190 Oxidative phosphorylation 2.533425
hsa03050 Proteasome 2.344753
hsa04142 Lysosome 1.426453

```

As described in GAGE paper (Luo et al., 2009), it is worthy to run `gage` with `same.dir=F` option on KEGG pathways too to capture pathways perturbed towards both directions simultaneously. Note we normally do not use `same.dir=F` option on GO groups, which are mostly gene sets coregulated towards the same direction.

```

> gse16873.kegg.2d.p <- gage(gse16873, gsets = kegg.gs,
+   ref = hn, samp = dcis, same.dir = F)
> head(gse16873.kegg.2d.p$greater[,1:5], 4)

```

	p.geomean	stat.mean	p.val
hsa04510 Focal adhesion	0.001759747	2.916255	6.710926e-13
hsa04512 ECM-receptor interaction	0.001789555	2.949737	6.859265e-13
hsa04974 Protein digestion and absorption	0.030772743	1.806869	6.276812e-06
hsa04514 Cell adhesion molecules (CAMs)	0.042715144	1.618376	4.119645e-05
	q.val	set.size	
hsa04510 Focal adhesion	5.487412e-11	190	
hsa04512 ECM-receptor interaction	5.487412e-11	77	
hsa04974 Protein digestion and absorption	3.347633e-04	69	
hsa04514 Cell adhesion molecules (CAMs)	1.647858e-03	114	

```

> head(gse16873.kegg.2d.p$stats[,1:5], 4)

```

	stat.mean	DCIS_1	DCIS_2	DCIS_3
hsa04510 Focal adhesion	2.916255	2.4989854	3.396771	2.975650
hsa04512 ECM-receptor interaction	2.949737	2.8390006	3.277226	3.349328
hsa04974 Protein digestion and absorption	1.806869	2.0038044	1.739954	2.436997
hsa04514 Cell adhesion molecules (CAMs)	1.618376	0.8235421	1.412808	3.108789
	DCIS_4			
hsa04510 Focal adhesion	2.3412979			
hsa04512 ECM-receptor interaction	2.3287519			
hsa04974 Protein digestion and absorption	0.4055875			
hsa04514 Cell adhesion molecules (CAMs)	1.0717607			

We may also do PAGE analysis (Kim and Volsky, 2005) with or without different combinations of GAGE style options. The key difference is 1) to use z-test instead of

two-sample t-test and 2) a group-on-group comparison scheme (by setting argument `compare="as.group"`) instead of default one-on-one scheme (`compare="paired"`) as in GAGE. Here we only used z-test option to compare the net effect of using different gene set tests, as detailed in Luo et al. (2009).

```
> gse16873.kegg.page.p <- gage(gse16873, gsets = kegg.gs,
+   ref = hn, samp = dcis, saaTest = gs.zTest)
> head(gse16873.kegg.page.p$greater[, 1:5], 4)
```

	p.geomean	stat.mean
hsa04141 Protein processing in endoplasmic reticulum	7.807248e-07	4.701752
hsa00190 Oxidative phosphorylation	2.660152e-05	3.782537
hsa04142 Lysosome	6.255925e-05	3.472536
hsa03050 Proteasome	2.562528e-04	3.384068

	p.val	q.val
hsa04141 Protein processing in endoplasmic reticulum	5.422494e-31	8.675991e-29
hsa00190 Oxidative phosphorylation	9.727891e-21	7.782313e-19
hsa04142 Lysosome	9.006316e-18	4.803369e-16
hsa03050 Proteasome	5.698703e-17	2.279481e-15

	set.size
hsa04141 Protein processing in endoplasmic reticulum	144
hsa00190 Oxidative phosphorylation	97
hsa04142 Lysosome	108
hsa03050 Proteasome	39

We get much smaller p-/q-values with PAGE than with GAGE. As described in GAGE paper (Luo et al., 2009), many significant calls made by PAGE are likely false positives.

With *gage*, we have much more options to tweak than those related to PAGE for more customized GAGE analysis. Here we show a few other alternative ways of doing GAGE analysis by setting other arguments. Use t-test statistics instead of fold change as per gene score:

```
> gse16873.kegg.t.p <- gage(gse16873, gsets = kegg.gs,
+   ref = hn, samp = dcis, use.fold = F)
```

If you are very concerned about the normality of expression level changes or the gene set sizes are mostly smaller than 10, we may do the non-parametric Mann Whitney U tests (rank test without distribution assumption) instead of the parametric two-sample t-tests on gene sets:

```
> gse16873.kegg.rk.p <- gage(gse16873, gsets = kegg.gs,
+   ref = hn, samp = dcis, rank.test = T)
```

Do the non-parametric Kolmogorov-Smirnov tests (like rank test, used in GSEA) instead of the parametric two-sample t-tests on gene sets:

```
> gse16873.kegg.ks.p <- gage(gse16873, gsets = kegg.gs,
+   ref = hn, samp = dcis, saaTest = gs.KSTest)
```

Frequently, the samples are not one-on-one paired in the experiment design. In such cases, we take the samples as unpaired:

```
> gse16873.kegg.unpaired.p <- gage(gse16873, gsets = kegg.gs,
+   ref = hn, samp = dcis, compare = "unpaired")
```

Since version 2.2.0, gage package does more robust p-value summarization using Stouffer's method through argument `use.stouffer=TRUE`. The original p-value summarization, i.e. negative log sum following a Gamma distribution as the Null hypothesis, may produce less stable global p-values for large or heterogenous datasets. In other words, the global p-value could be heavily affected by a small subset of extremely small individual p-values from pair-wise comparisons. Such sensitive global p-value leads to the "dual significance" phenomenon. Dual-significant means a gene set is called significant simultaneously in both 1-direction tests (up- and down-regulated). While not desirable in other cases, "dual significance" could be informative in revealing the sub-types or sub-classes in big clinical or disease studies. If we preferred the original Gamma distribution based p-value summarization, we only need to set `use.stouffer=FALSE`:

```
> gse16873.kegg.gamma.p <- gage(gse16873, gsets = kegg.gs,
+   ref = hn, samp = dcis, use.stouffer=FALSE)
```

Sometimes we have expression data where genes are labelled by symbols (or other IDs). Let's make such a dataset `gse16873.sym` from `gse16873`, which is originally lable by Entrez Gene IDs.

```
> head(rownames(gse16873))
[1] "10000"      "10001"      "10002"      "10003"      "100048912" "10004"

> gse16873.sym<-gse16873
> data(egSymb)
> rownames(gse16873.sym)<-eg2sym(rownames(gse16873.sym))
> head(rownames(gse16873.sym))
[1] "AKT3"      "MED6"      "NR2E3"     "NAALAD2"   "CDKN2BAS"  "NAALADL1"
```

If we want to do GAGE analysis, we can convert rownames of `gse16873.sym` back to Entrez Gene IDs or convert gene set definitions to gene symbols.

```

> kegg.gs.sym<-lapply(kegg.gs, eg2sym)
> lapply(kegg.gs.sym[1:3],head)

$`hsa00010 Glycolysis / Gluconeogenesis`
[1] "AKR1A1" "ADH1A" "ADH1B" "ADH1C" "ADH4" "ADH5"

$`hsa00020 Citrate cycle (TCA cycle)`
[1] "CS" "DLAT" "DLD" "DLST" "FH" "IDH1"

$`hsa00030 Pentose phosphate pathway`
[1] "FBP1" "PRPS1L1" "ALDOA" "ALDOB" "RPIA" "ALDOC"

> gse16873.kegg.p2 <- gage(gse16873.sym, gsets = kegg.gs.sym,
+ ref = hn, samp = dcis)

```

6 Result Presentation and Intepretation

We may output full result tables from the analysis.

```

> write.table(gse16873.kegg.2d.p$greater, file = "gse16873.kegg.2d.p.txt",
+ sep = "\t")
> write.table(rbind(gse16873.kegg.p$greater, gse16873.kegg.p$less),
+ file = "gse16873.kegg.p.txt", sep = "\t")

```

Sort and count significant gene sets based on q- or p-value cutoffs:

```

> gse16873.kegg.sig<-sigGeneSet(gse16873.kegg.p, outname="gse16873.kegg")

[1] "there are 22 significantly up-regulated gene sets"
[1] "there are 14 significantly down-regulated gene sets"

> str(gse16873.kegg.sig, strict.width='wrap')

```

List of 3

```

$ greater: num [1:22, 1:11] 0.000216 0.001497 0.004771 0.003718 0.01862 ...
.. attr(*, "dimnames")=List of 2
.. ..$ : chr [1:22] "hsa04141 Protein processing in endoplasmic reticulum"
"hsa00190 Oxidative phosphorylation" "hsa03050 Proteasome" "hsa04142
Lysosome" ...
.. ..$ : chr [1:11] "p.geomean" "stat.mean" "p.val" "q.val" ...
$ less : num [1:14, 1:11] 0.000798 0.005628 0.02764 0.069383 0.089991 ...
.. attr(*, "dimnames")=List of 2

```

```

.. ..$ : chr [1:14] "hsa03010 Ribosome" "hsa04510 Focal adhesion" "hsa04270
  Vascular smooth muscle contraction" "hsa04020 Calcium signaling pathway" ...
.. ..$ : chr [1:11] "p.geomean" "stat.mean" "p.val" "q.val" ...
$ stats : num [1:36, 1:7] 3.52 2.85 2.63 2.54 2.12 ...
..- attr(*, "dimnames")=List of 2
.. ..$ : chr [1:36] "hsa04141 Protein processing in endoplasmic reticulum"
  "hsa00190 Oxidative phosphorylation" "hsa03050 Proteasome" "hsa04142
  Lysosome" ...
.. ..$ : chr [1:7] "stat.mean" "DCIS_1" "DCIS_2" "DCIS_3" ...

> gse16873.kegg.2d.sig<-sigGeneSet(gse16873.kegg.2d.p, outname="gse16873.kegg")

[1] "there are 27 significantly two-direction perturbed gene sets"

> str(gse16873.kegg.2d.sig, strict.width='wrap')

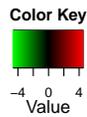
List of 2
$ greater: num [1:27, 1:11] 0.00176 0.00179 0.03077 0.04272 0.04449 ...
..- attr(*, "dimnames")=List of 2
.. ..$ : chr [1:27] "hsa04510 Focal adhesion" "hsa04512 ECM-receptor
  interaction" "hsa04974 Protein digestion and absorption" "hsa04514 Cell
  adhesion molecules (CAMs)" ...
.. ..$ : chr [1:11] "p.geomean" "stat.mean" "p.val" "q.val" ...
$ stats : num [1:27, 1:7] 2.92 2.95 1.81 1.62 1.52 ...
..- attr(*, "dimnames")=List of 2
.. ..$ : chr [1:27] "hsa04510 Focal adhesion" "hsa04512 ECM-receptor
  interaction" "hsa04974 Protein digestion and absorption" "hsa04514 Cell
  adhesion molecules (CAMs)" ...
.. ..$ : chr [1:7] "stat.mean" "DCIS_1" "DCIS_2" "DCIS_3" ...

> write.table(gse16873.kegg.2d.sig$greater, file = "gse16873.kegg.2d.sig.txt",
+   sep = "\t")
> write.table(rbind(gse16873.kegg.sig$greater, gse16873.kegg.sig$less),
+   file = "gse16873.kegg.sig.txt", sep = "\t")

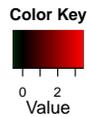
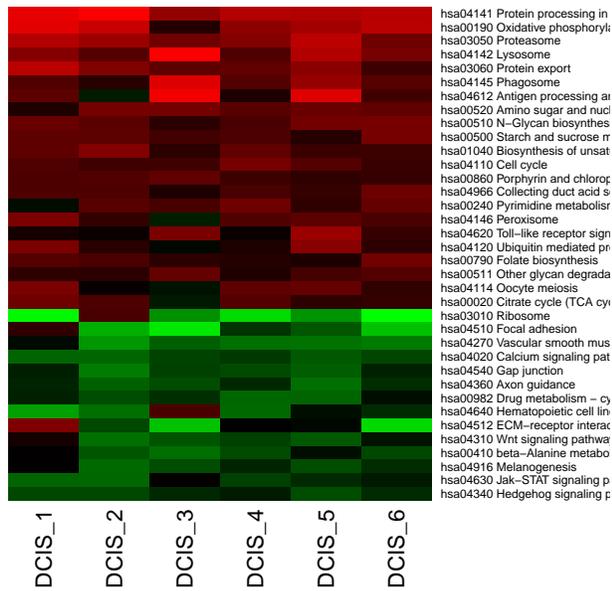
```

Heatmaps in Figure 1 visualize whole gene set perturbations, i.e. the gene set test statistics (or p-values) in pseudo-color.

There are frequently multiple significant gene sets that share multiple member genes or represent the same regulatory mechanism. Such redundancy in significant gene set could be misleading too. A pathway or functional group itself is not relevant, but may be called significant because it share multiple perturbed genes with one really significant gene set. We derive the non-redundant significant gene set lists, with result output as tab-delimited



GAGE test statistics



GAGE test statistics

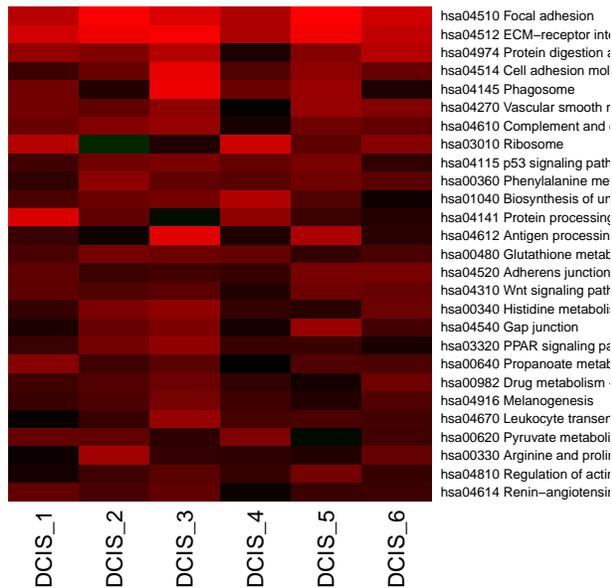


Figure 1: Example heatmaps generated with `sigGeneSet` function as to show whole gene set perturbations. Upper panel: significant KEGG pathways in 1-directional (either up or down) test; lower panel: significant KEGG pathways in 2-directional test. Only gene set test statistics are shown, heatmaps for $-\log_{10}(p\text{-value})$ look similar.

text files automatically below. Here, function `eset.grp` calls redundant gene sets to be those overlap heavily in their core genes. Core genes are those member genes that really contribute to the significance of the gene set in GAGE analysis. Argument `pc` is the cutoff p-value for the overlap between gene sets, default to $10e-10$, may need trial-and-error to find the optimal value in minor cases. Note that we use small `pc` because redundant gene sets are not just significant in overlap, but are HIGHLY significant so.

```
> gse16873.kegg.esg.up <- eset.grp(gse16873.kegg.p$greater,
+   gse16873, gsets = kegg.gs, ref = hn, samp = dcis,
+   test4up = T, output = T, outname = "gse16873.kegg.up", make.plot = F)
> gse16873.kegg.esg.dn <- eset.grp(gse16873.kegg.p$less,
+   gse16873, gsets = kegg.gs, ref = hn, samp = dcis,
+   test4up = F, output = T, outname = "gse16873.kegg.dn", make.plot = F)
> names(gse16873.kegg.esg.up)
```

```
[1] "essentialSets"      "setGroups"          "allSets"
[4] "connectedComponent" "overlapCounts"      "overlapPvals"
[7] "coreGeneSets"
```

```
> head(gse16873.kegg.esg.up$essentialSets, 4)
```

```
[1] "hsa04141 Protein processing in endoplasmic reticulum"
[2] "hsa00190 Oxidative phosphorylation"
[3] "hsa03050 Proteasome"
[4] "hsa04142 Lysosome"
```

```
> head(gse16873.kegg.esg.up$setGroups, 4)
```

```
[[1]]
[1] "hsa04141 Protein processing in endoplasmic reticulum"
```

```
[[2]]
[1] "hsa00190 Oxidative phosphorylation"
```

```
[[3]]
[1] "hsa03050 Proteasome"
```

```
[[4]]
[1] "hsa04142 Lysosome"          "hsa00511 Other glycan degradation"
```

```
> head(gse16873.kegg.esg.up$coreGeneSets, 4)
```

```

$`hsa04141 Protein processing in endoplasmic reticulum`
 [1] "51128" "2923" "10130" "3312" "10970" "3301" "9451" "23480" "9601"
[10] "811" "3309" "7323" "7494" "6748" "64374" "10525" "7466" "10808"
[19] "6184" "821" "56886" "5887" "6185" "5034" "6745" "1603" "7095"
[28] "79139" "3320" "27102" "10294" "10483" "7415" "7324" "30001" "64215"
[37] "7184" "5611" "29927" "9871" "9978" "5601" "22872" "6500" "23471"
[46] "22926" "11231" "57134" "6396" "10134"

$`hsa00190 Oxidative phosphorylation`
 [1] "1345" "4720" "4710" "51382" "4709" "51606" "27089" "533" "29796"
[10] "9377" "4711" "528" "514" "10975" "51079" "10312" "8992" "518"
[19] "4696" "1537" "1340" "4708" "521" "54539" "537" "4694" "4701"
[28] "4702" "509"

$`hsa03050 Proteasome`
 [1] "5691" "10213" "5684" "5685" "5701" "5688" "51371" "5692" "5714"
[10] "9861" "5705" "5720" "5687" "7979" "5708" "5690" "5696" "5718"
[19] "5707"

$`hsa04142 Lysosome`
 [1] "3920" "1509" "2517" "1213" "2720" "1508" "51606" "1512" "54"
[10] "55353" "2990" "1520" "427" "5660" "533" "10577" "27074" "5476"
[19] "9741" "967" "1514" "10312" "2799" "1075" "3074" "4126" "8763"
[28] "10239" "7805" "537" "3988"

```

We may extract the gene expression data for top gene sets, output and visualize these expression data for further interpretation. Although we can check expression data in each top gene set one by one, here we work on the top 3 up-regulated KEGG pathways in a batch. The key functions we use here are, `essGene` (for extract genes with substantial or above-background expression changes in gene sets) and `geneData` (for output and visualization of expression data in gene sets).

```

> rownames(gse16873.kegg.p$greater)[1:3]

[1] "hsa04141 Protein processing in endoplasmic reticulum"
[2] "hsa00190 Oxidative phosphorylation"
[3] "hsa03050 Proteasome"

> gs=unique(unlist(kegg.gs[rownames(gse16873.kegg.p$greater)[1:3]]))
> essData=essGene(gs, gse16873, ref =hn, samp =dcis)
> head(essData, 4)

```

	HN_1	HN_2	HN_3	HN_4	HN_5	HN_6	DCIS_1
1345	9.109413	9.373454	10.988181	9.161435	11.032016	11.231293	12.675099
5691	8.283191	7.716745	7.553621	8.381538	7.768811	7.635745	8.840405
51128	7.424312	7.970012	8.034436	6.806669	8.508019	8.523295	8.636513
2923	9.362371	9.150221	8.537944	8.828966	9.890736	9.980784	11.168409
	DCIS_2	DCIS_3	DCIS_4	DCIS_5	DCIS_6		
1345	11.231271	12.547915	8.979639	13.470266	12.156052		
5691	8.125168	7.782958	11.910352	7.956182	7.713826		
51128	8.639654	8.740153	8.037517	9.060658	8.845460		
2923	9.396683	9.176227	9.752254	10.529351	10.242883		

```

> ref1=1:6
> samp1=7:12
> for (gs in rownames(gse16873.kegg.p$greater)[1:3]) {
+   outname = gsub(" |:|/", "_", substr(gs, 10, 100))
+   geneData(genes = kegg.gs[[gs]], exprs = essData, ref = ref1,
+           samp = samp1, outname = outname, txt = T, heatmap = T,
+           Colv = F, Rowv = F, dendrogram = "none", limit = 3, scatterplot = T)
+ }

```

Figure 2 shows example heatmap and scatter plots generated by the code chunk above.

Sometimes, we may also want to check the expression data for all genes in a top gene set, rather than just those above-background genes selected using `essGene` as above. Notice in this case (Figure 3), the heatmap may be less informative than the one in Figure 2 due to the inclusion of background noise.

```

> for (gs in rownames(gse16873.kegg.p$greater)[1:3]) {
+   outname = gsub(" |:|/", "_", substr(gs, 10, 100))
+   outname = paste(outname, "all", sep=".")
+   geneData(genes = kegg.gs[[gs]], exprs = gse16873, ref = hn,
+           samp = dcis, outname = outname, txt = T, heatmap = T,
+           Colv = F, Rowv = F, dendrogram = "none", limit = 3, scatterplot = T)
+ }

```

Starting from BioC 2.12 (R-2.16), we can visualize the KEGG pathway analysis results using a new package called *pathview* (Luo and Brouwer, 2013). Of course, you may manually install the package with earlier BioC or R versions. Note that *pathview* can view our expression perturbation patterns on two styles of pathway graphs: KEGG view or Graphviz view (Figure 4). All we need is to supply our data (expression changes) and specify the target pathway. *Pathview* automatically downloads the pathway graph data, parses the data file, maps user data to the pathway, and renders pathway graph with the mapped data. For demonstration, let's look at a couple of selected pathways.

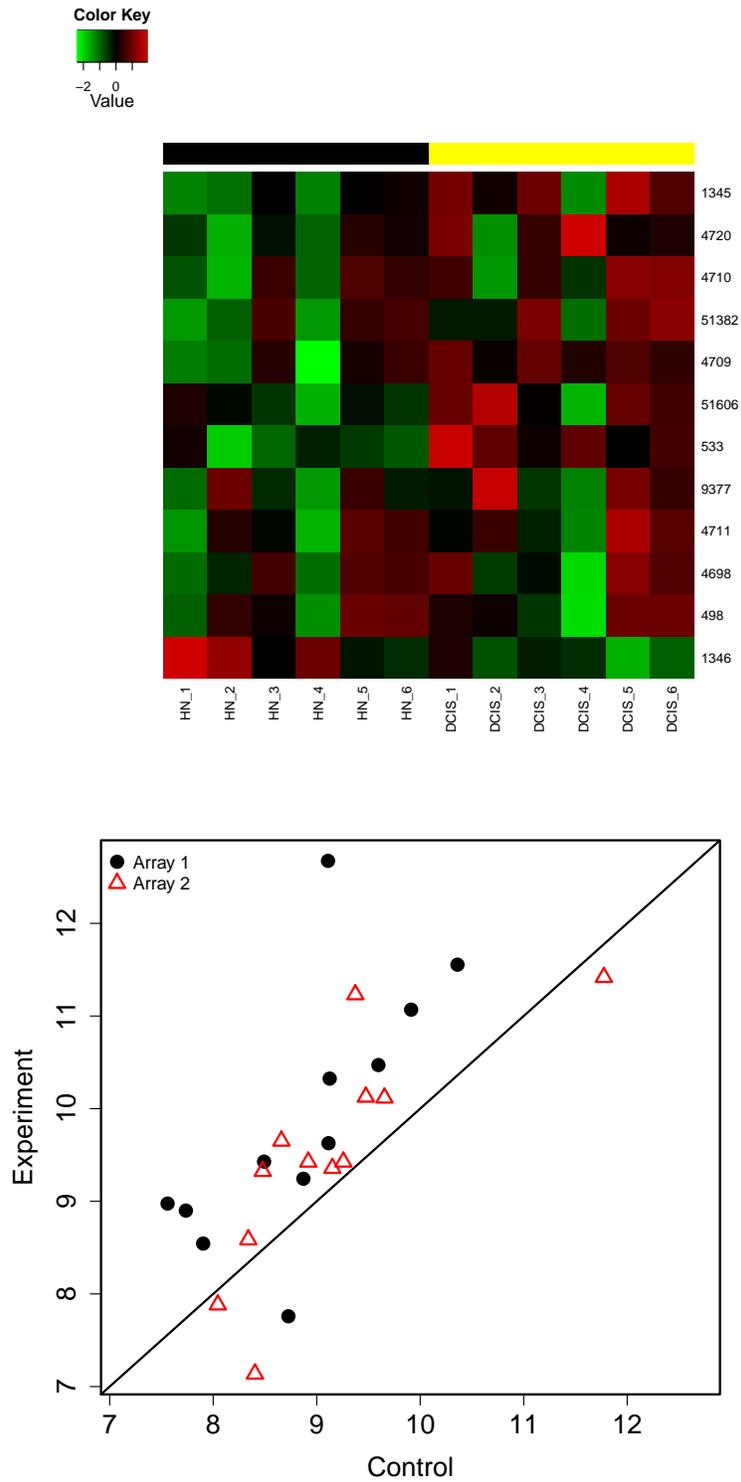


Figure 2: Example heatmap and scatter plot¹⁶ generated with `geneData` function to show the gene expression perturbations in specified gene set(s). Only HN (control) and DCIS (experiment) data from the first two patients are plotted in the scatter plot.

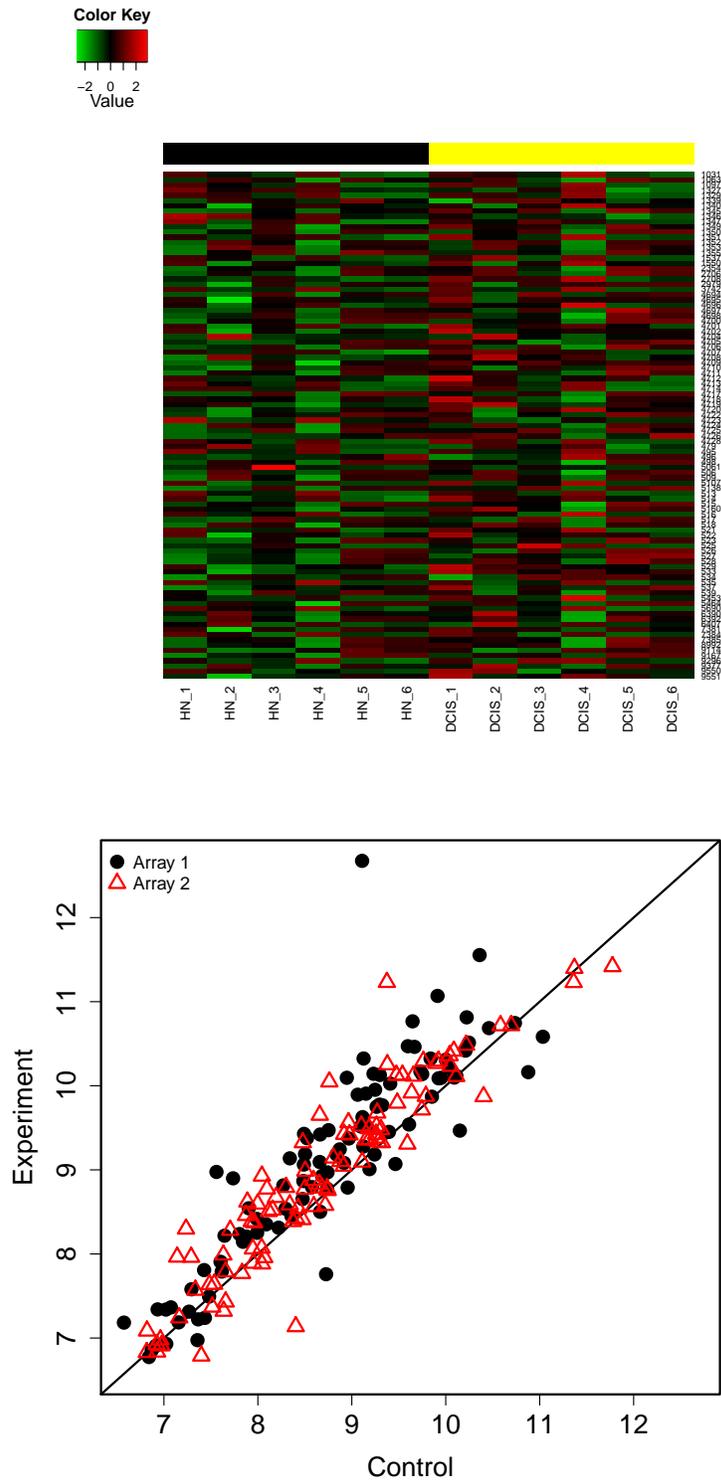


Figure 3: Example heatmap and scatter plot¹⁷ generated with `geneData` function to show the gene expression perturbations in specified gene set(s). Only HN (control) and DCIS (experiment) data from the first two patients are plotted in the scatter plot. And all genes in a gene set are included here.

```

> library(pathview)
> gse16873.d <- gse16873[ ,dcis] - gse16873[ ,hn]
> path.ids=c("hsa04110 Cell cycle", "hsa00020 Citrate cycle (TCA cycle)")
> path.ids2 <- substr(path.ids, 1, 8)
> #native KEGG view
> pv.out.list <- sapply(path.ids2, function(pid) pathview(gene.data = gse16873.d[,
+               1:2], pathway.id = pid, species = "hsa"))
> #Graphviz view
> pv.out.list <- sapply(path.ids2, function(pid) pathview(gene.data = gse16873.d[,
+               1:2], pathway.id = pid, species = "hsa", kegg.native=F,
+               sign.pos="bottomleft"))

```

This pathway graph based data visualization can be simply applied to all selected pathways in a batch. In other words, in a few lines of code, we may connect *gage* to *pathview* for large-scale and fully automated pathway analysis and results visualization.

```

> sel <- gse16873.kegg.p$greater[, "q.val"] < 0.1 & !is.na(gse16873.kegg.p$greater[,
+ "q.val"])
> path.ids <- rownames(gse16873.kegg.p$greater)[sel]
> path.ids2 <- substr(path.ids, 1, 8)
> pv.out.list <- sapply(path.ids2, function(pid) pathview(gene.data = gse16873.d[,
+               1:2], pathway.id = pid, species = "hsa"))

```

7 Advanced Analysis

We frequently need to do GAGE analysis repetitively on multiple comparisons (with different samples vs references) in a study, or even with different analysis options (paired or unpaired samples, use or not use rank test etc). We can carry these different analyses with different sub-datasets all at once using a composite function, *gagePipe*. Different from *gage*, *gagePipe* accepts lists of reference/sample column numbers, with matching lists/vectors of other arguments. Function *gagePipe* runs multiple rounds of GAGE in a batch without interference, and outputs significant gene set lists in text format and save the results in *.RData* format.

```

> #introduce another half dataset
> library(gageData)
> data(gse16873.2)
> cn2=colnames(gse16873.2)
> hn2=grep('HN',cn2, ignore.case =T)
> dcis2=grep('DCIS',cn2, ignore.case =T)
> #batch GAGE analysis on the combined dataset
> gse16873=cbind(gse16873, gse16873.2)

```

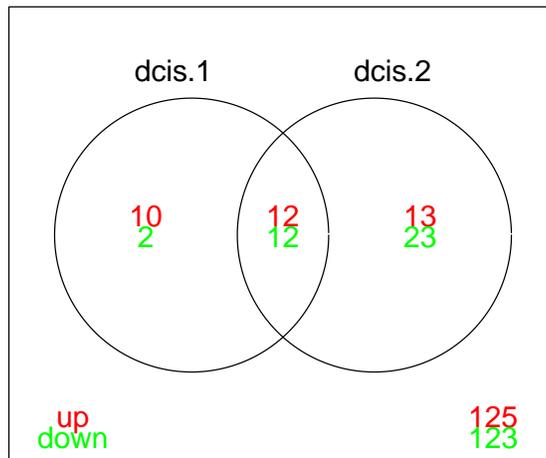



Figure 5: An example venn diagram generated with `gageComp` function. Compared are KEGG pathway results for the two half datasets when `gagePipe` was applied above.

```
> dataname='gse16873' #output data prefix
> sampnames=c('dcis.1', 'dcis.2')
> refList=list(hn, hn2+12)
> sampList=list(dcis, dcis2+12)
> gagePipe(gse16873, gsname = "kegg.gs", dataname = "gse16873",
+   sampnames = sampnames, ref.list = refList, samp.list = sampList,
+   comp.list = "paired")
```

We may further loaded the `.RData` results, and do more analysis. For instance, we may compare the GAGE analysis results from the differen comparisons or different sub-datasets we have worked on. Here, the main function to use is `gageComp`. Comparison results between multiple GAGE analyses will be output as text files and optionally, venn diagram can be plotted in PDF format as shown in Figure 5.

```
> load('gse16873.gage.RData')
> gageComp(sampnames, dataname, gsname = "kegg.gs",
+   do.plot = TRUE)
```

GAGE with single array analysis design also provide a framework for combined analysis accross heterogeneous microarray studies/experiments. The combined dataset of `gse16873` and `gse16873.2` provides a good example of heterogeneous data. As mentioned above, the two half-datasets were processed using FARMS (Hochreiter et al., 2006) and RMA (Irizarry et al., 2003) methods separately. Therefore, the expression values and distributions are dramatically different between the two halves. Using function `heter.gage` we can do some

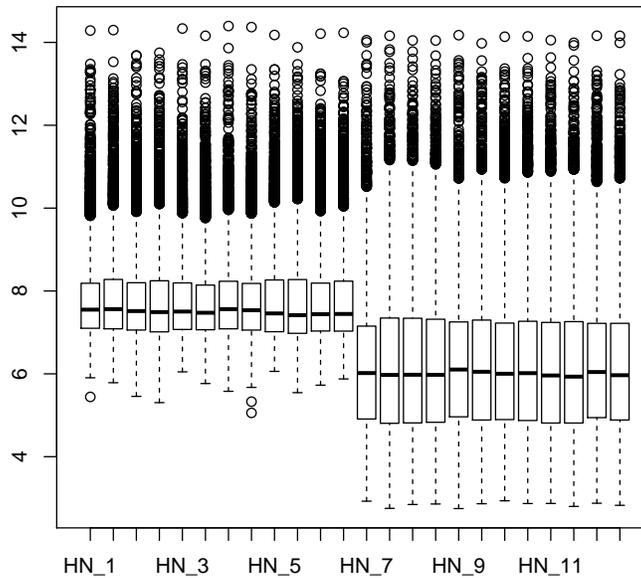


Figure 6: Sample-wise gene expression level distributions for GSE16873 with the two differently processed half datasets.

combined analysis on such heterogeneous dataset(s). `heter.gage` is similar to `gagePipe` in that `ref.list` and `samp.list` arguments need to be lists of column numbers with matching vector of the `compare` argument. Different from `gagePipe`, `heter.gage` does one combined GAGE analysis on all data instead of multiple separate analyses on different sub-datasets/comparisons.

Just to have an idea on how heterogeneous these two half datasets are, we may visualize the expression level distributions (Figure 6):

```
> boxplot(data.frame(gse16873))
> gse16873.kegg.heter.p <- heter.gage(gse16873, gsets = kegg.gs,
+   ref.list = refList, samp.list = sampList)
> gse16873.kegg.heter.2d.p <- heter.gage(gse16873, gsets = kegg.gs,
+   ref.list = refList, samp.list = sampList, same.dir = F)
```

We may compare the results from this combined analysis of the combined dataset vs the analysis on the first half dataset above. As expected the top gene sets from this combined

analysis are consistent yet with smaller p-values due to the use of more data.

8 Common Errors

- Gene sets and expression data use different ID systems (Entrez Gene ID, Gene symbol or probe set ID etc). To correct, use functions like `eg2sym` or `sym2eg`, or check vignette, "Gene set and data preparation", for more solutions. If you used customized CDF file based on Entrez Gene to processed the raw data, do: `rownames(gse16873)=gsub('_at', '', rownames(gse16873))`.
- We use gene set data for a different species than the expression data, e.g. use `kegg.mm` instead of `kegg.gs` for human data. When running `gage` or `gagePipe` function, we get error message like, `Error in if (is.na(spval[i])) tmp[i] <- NA : argument is of length zero`.
- Expression data have multiple probesets (as in Affymetrix GeneChip Data) for a single gene, but gene set analysis requires one entry per gene. You may pick up the most differentially expressed probeset for a gene and discard the rest, or process the raw intensity data using customized probe set definition (CDF file), where probes are re-mapped on a gene by gene base. Check the Methods section of GAGE paper (Luo et al., 2009) for details.
- Expression data have genes as columns and samples/chips as rows, i.e. in a transposed form. To correct, do: `expdata=t(expdata)`.

References

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